

# CS221 Section 3: Search

*DP, UCS, A\**

*What are the “ingredients” for a well-defined search problem?*





## Definition: search problem

- $s_{\text{start}}$ : starting state
- $\text{Actions}(s)$ : possible actions
- $\text{Cost}(s, a)$ : action cost
- $\text{Succ}(s, a)$ : successor
- **Is End** ( $s$ ): found solution?

# Section Problem

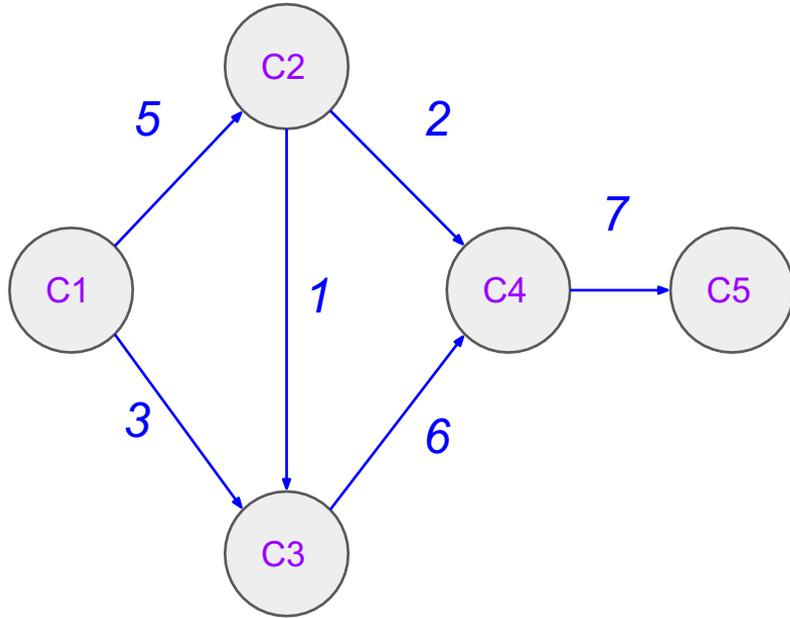
There exists  **$N$  cities**, labeled from  $1$  to  $N$ .

There are one-way roads connecting some pairs of cities. The road connecting city  $i$  and city  $j$  takes  $c(i,j)$  time to traverse.

However, one can **only travel from a city with smaller label to a city with larger label** (each road is one-directional).

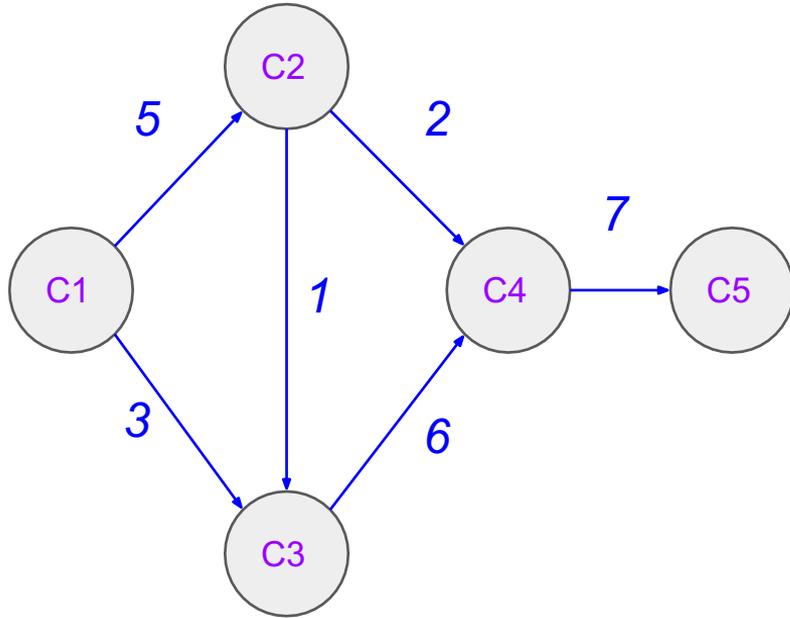
**From city 1, we want to travel to city  $N$ . What is the shortest time** required to make this trip, given the **constraint** that we should visit **more odd-labeled cities than even labeled cities?**

# Example



1. What is the **shortest path** (without constraint)?
2. What is the **shortest path under the given constraint** (visit more odd than even cities)?

# Example



[C1, C2, C4, C5] has cost 14 but visits equal number of odd and even cities.

Best path is [C1, C3, C4, C5] with cost 16.

# State Representation



**Key idea: state**

A **state** is a summary of all the past actions sufficient to choose future actions **optimally**.

*How would you represent a state for this problem?*



# State Representation

We need to know where we are currently at: **current\_city**

We need to know how many odd and even cities we have visited thus far: **#odd, #even**

State Representation: (**current\_city, #odd, #even**)

Total number of states:  **$O(N^3)$**

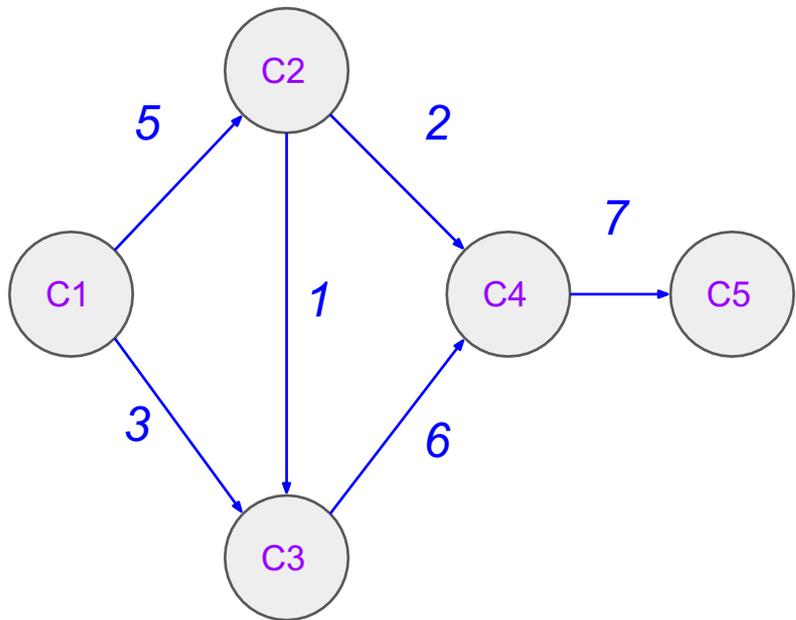
## Can We Do Better?

Check if all the information is really required

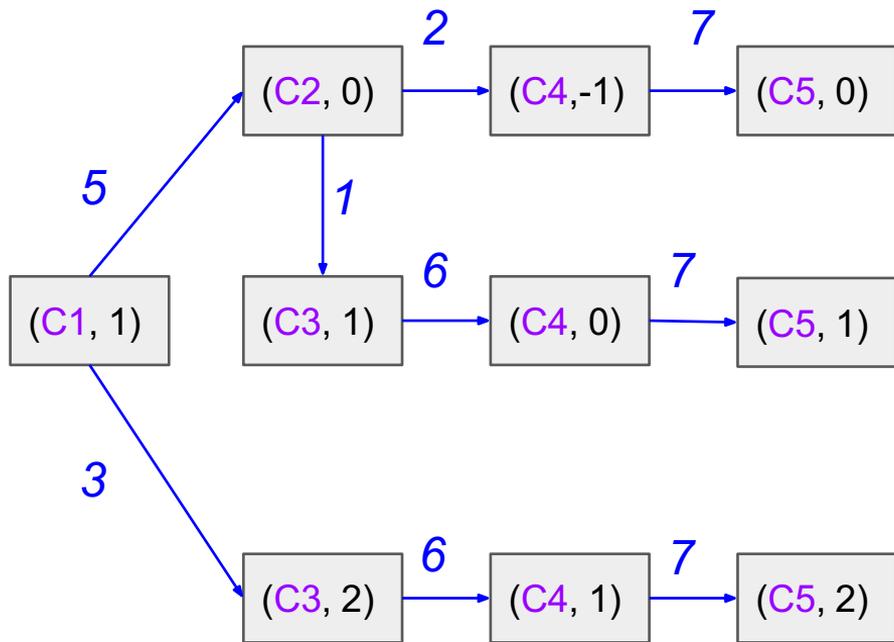
Instead of storing **#odd** and **#even**, we can store **#odd - #even** directly; this still allows us to check whether **#odd - #even > 0** at  $(N, \text{\#odd}, \text{\#even})$

$(\text{current\_city}, \text{\#odd} - \text{\#even}) \rightarrow O(N^2)$  states

# Original Graph



# State Graph



State  $s = (i, d)$  (current city, #odd-#even)

# Precise Formulation of Problem

State  $s := (i, d)$  (current city, #odd-#even)

$E := \{(i, j) \mid \exists \text{ road from } i \text{ to } j\}$

Actions( $s$ ) :=  $\{move(j) \mid (i, j) \in E\}$

Cost( $s, move(j)$ ) :=  $c(i, j)$

Succ( $s, a$ ) :=  $\begin{cases} (j, d + 1) & j \text{ odd} \\ (j, d - 1) & j \text{ even} \end{cases}$

Start :=  $(1, 1)$

isEnd( $s$ ) :=  $i = N$  and  $d > 0$

*Which algorithms can you use to solve this problem?  
Any pros and cons?*



# Solving the Problem

Since we are computing shortest path, which is some form of optimization, we consider **DP** and **UCS**.

Recall:

- **DP** can handle negative edges but works only on DAGs
  - **UCS** works on general graphs, but cannot handle negative edges
- *Which one works for our problem?*

# Solving the Problem

Since we are computing shortest path, which is some form of optimization, we consider **DP** and **UCS**.

Recall:

- **DP** can handle negative edges but works only on DAGs
- **UCS** works on general graphs, but cannot handle negative edges

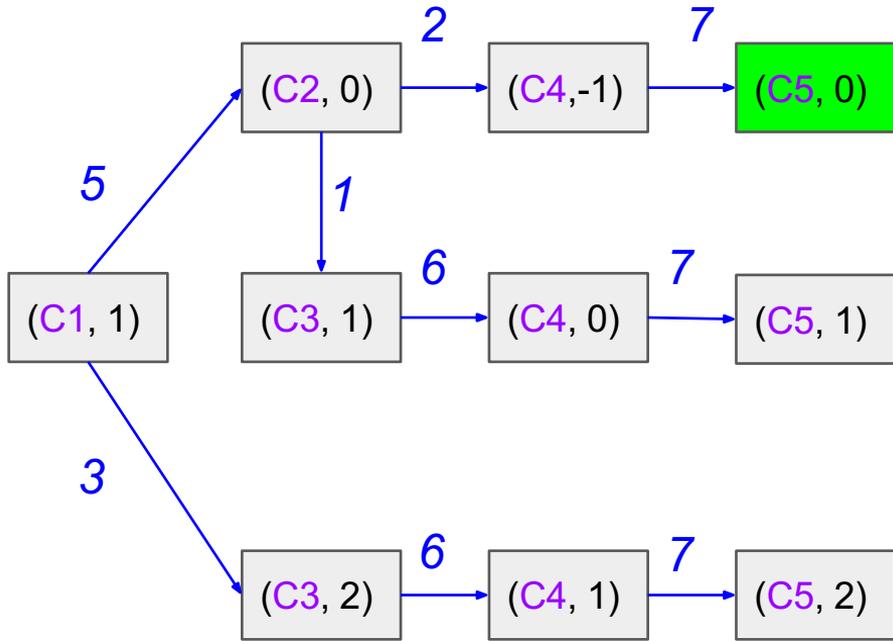
Since we have a **DAG** and all edges are positive, both work!

# Solving the Problem: Dynamic Programming

$$\text{FutureCost}(s) = \begin{cases} 0 & \text{if isEnd}(s) \\ \min_{a \in \text{Actions}(s)} [\text{Cost}(s, a) + \text{FutureCost}(\text{Succ}(s, a))] & \text{otherwise} \end{cases}$$

If  $s$  has no successors, we set it as *undefined*

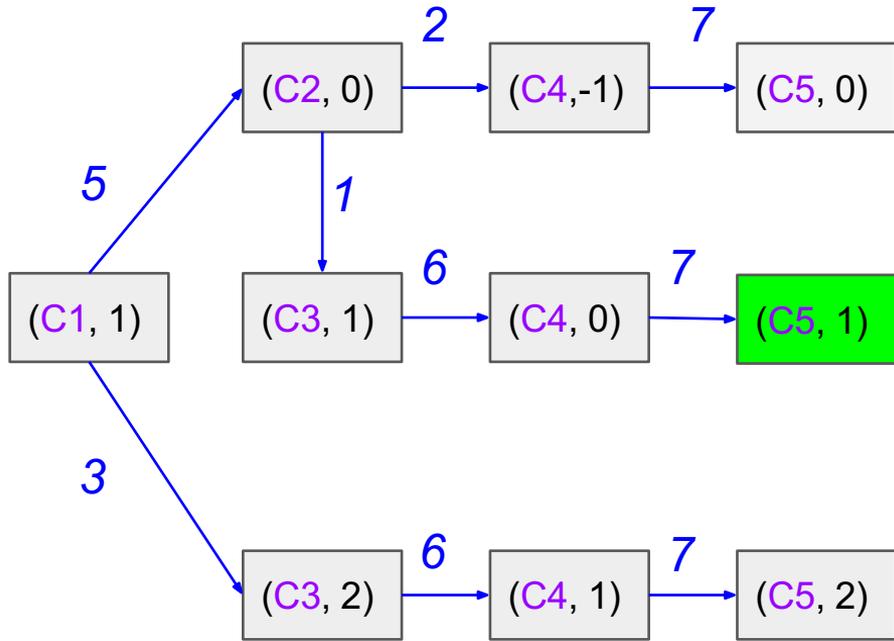
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	-	-	-
C4	-	-	-	-	-
C5	-	?	-	-	-

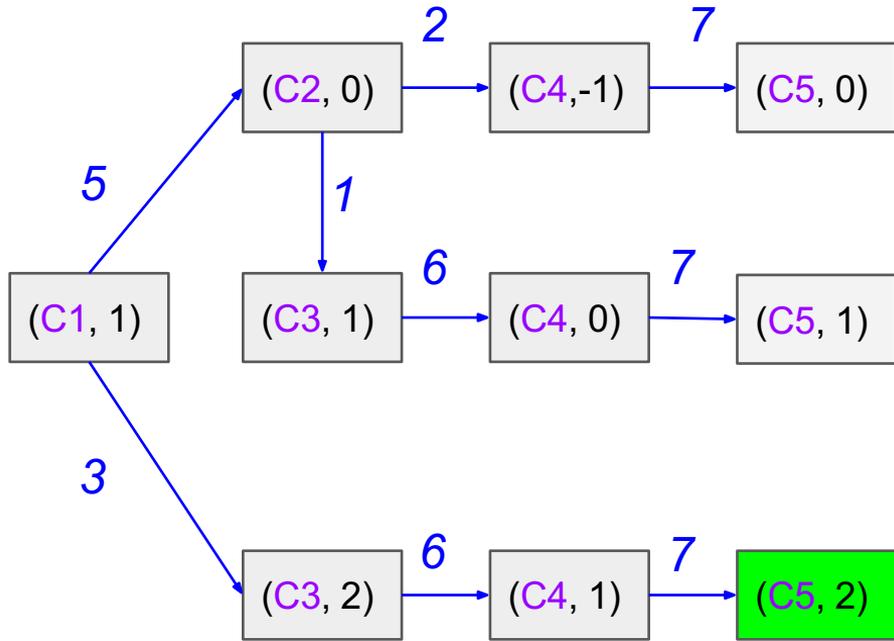
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	-	-	-
C4	-	-	-	-	-
C5	-	?	0	-	-

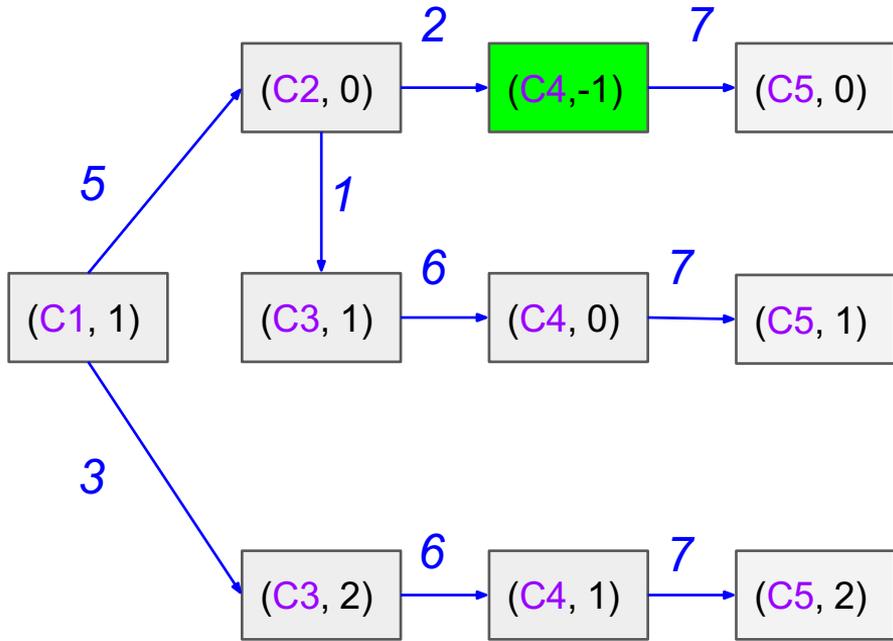
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	-	-	-
C4	-	-	-	-	-
C5	-	?	0	0	-

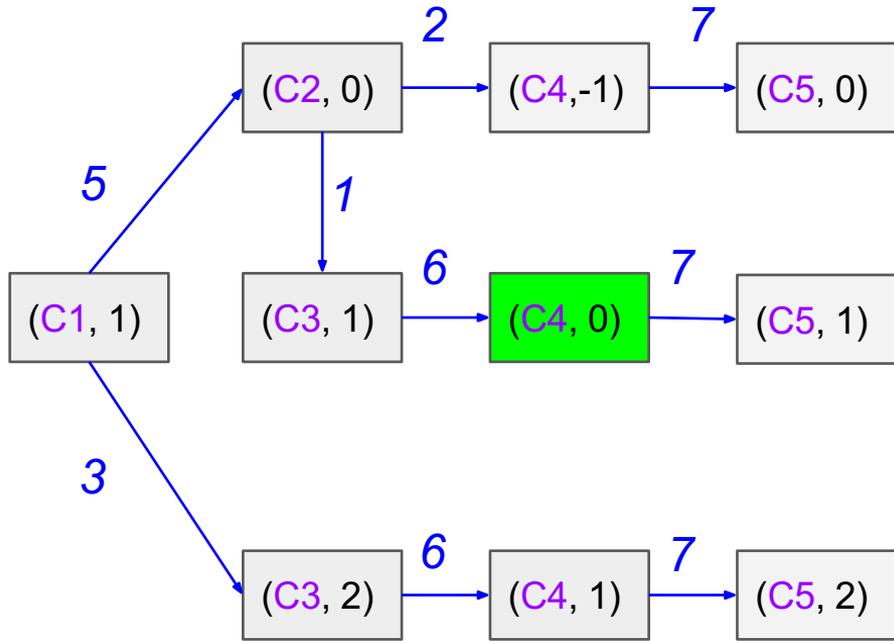
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	-	-	-
C4	?	-	-	-	-
C5	-	?	0	0	-

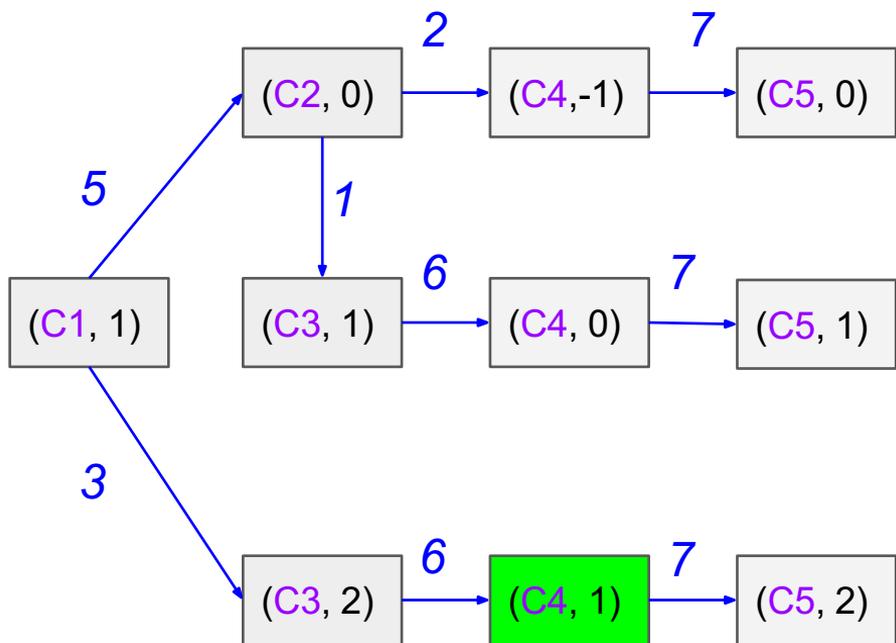
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	-	-	-
C4	?	7	-	-	-
C5	-	?	0	0	-

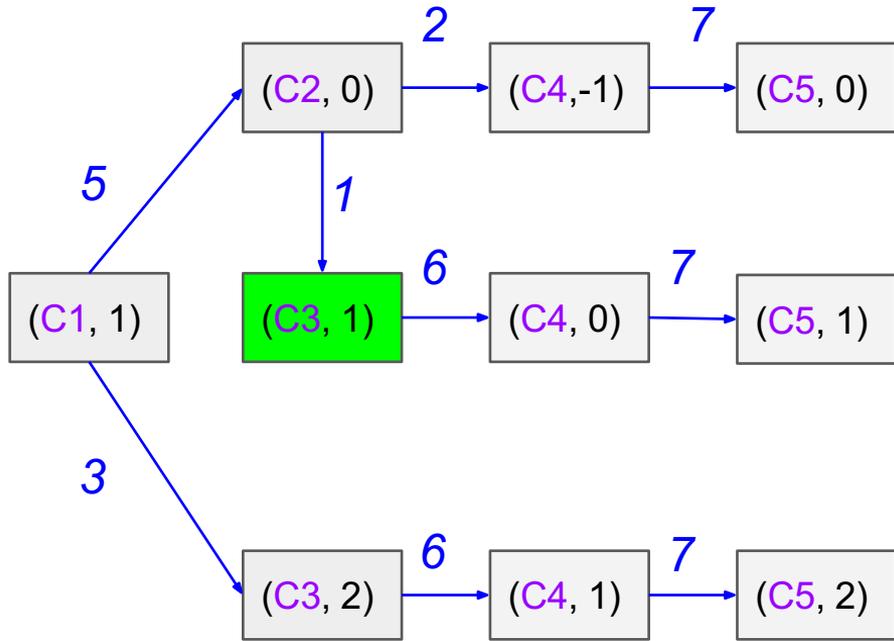
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	-	-	-
C4	?	7	7	-	-
C5	-	?	0	0	-

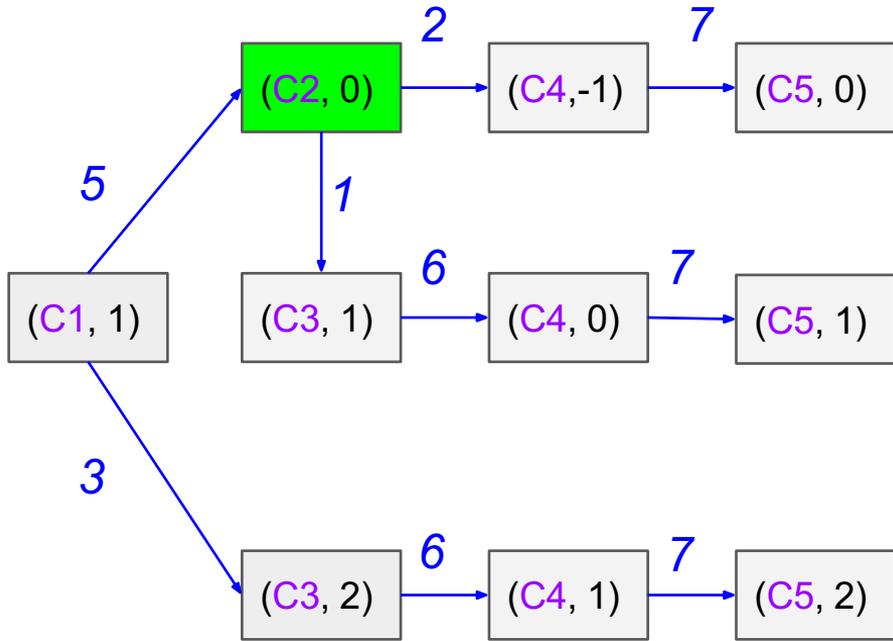
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	-	-	-	-
C3	-	-	13	-	-
C4	?	7	7	-	-
C5	-	?	0	0	-

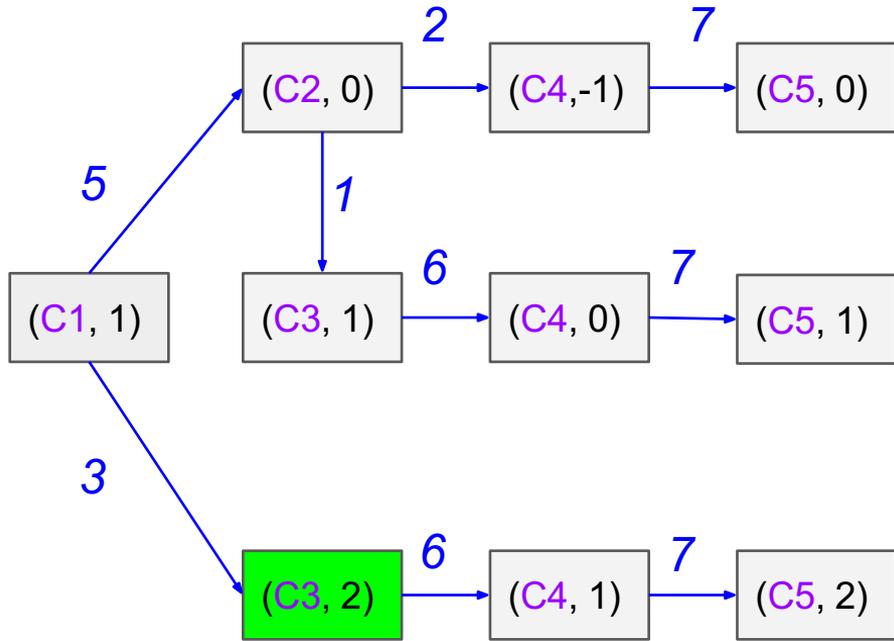
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	14	-	-	-
C3	-	-	13	-	-
C4	?	7	7	-	-
C5	-	?	0	0	-

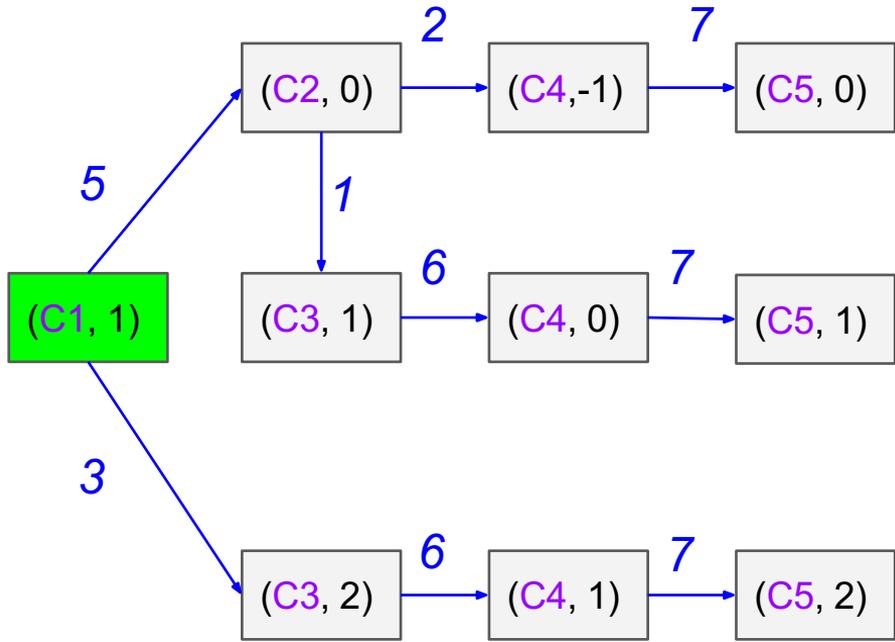
# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	-	-	-
C2	-	14	-	-	-
C3	-	-	13	13	-
C4	?	7	7	-	-
C5	-	?	0	0	-

# Simulation of DP



State  $s = (i, d)$  (current city, #odd-#even)

	#odd - #even				
	-1	0	1	2	3
C1	-	-	16	-	-
C2	-	14	-	-	-
C3	-	-	13	13	-
C4	?	7	7	-	-
C5	-	?	0	0	-

# Solving the Problem: Uniform Cost Search



## Algorithm: uniform cost search [Dijkstra, 1956]

Add  $s_{\text{start}}$  to **frontier** (priority queue)

Repeat until frontier is empty:

    Remove  $s$  with smallest priority  $p$  from frontier

    If  $\text{IsEnd}(s)$ : return solution

    Add  $s$  to **explored**

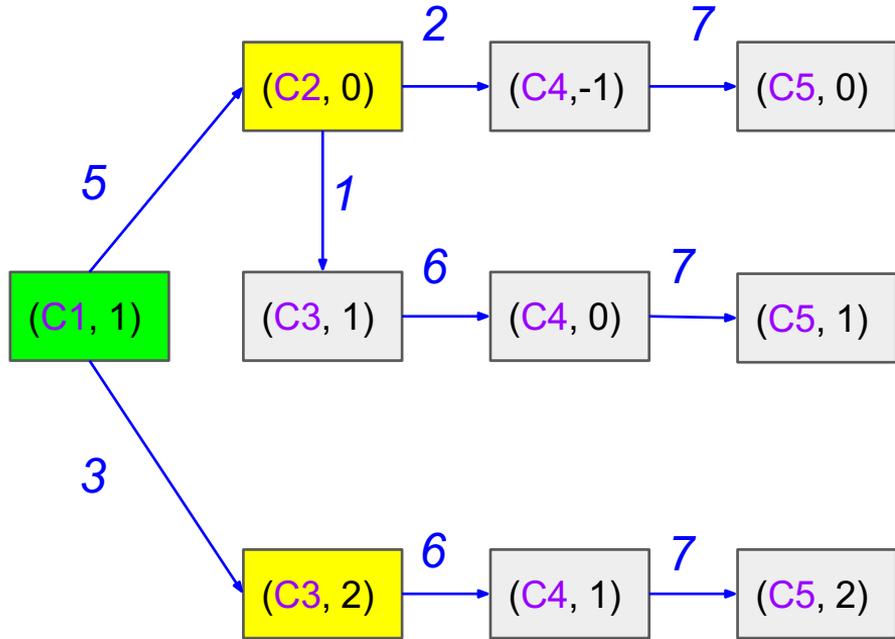
    For each action  $a \in \text{Actions}(s)$ :

        Get successor  $s' \leftarrow \text{Succ}(s, a)$

        If  $s'$  already in explored: continue

        Update **frontier** with  $s'$  and priority  $p + \text{Cost}(s, a)$

# Simulation of UCS



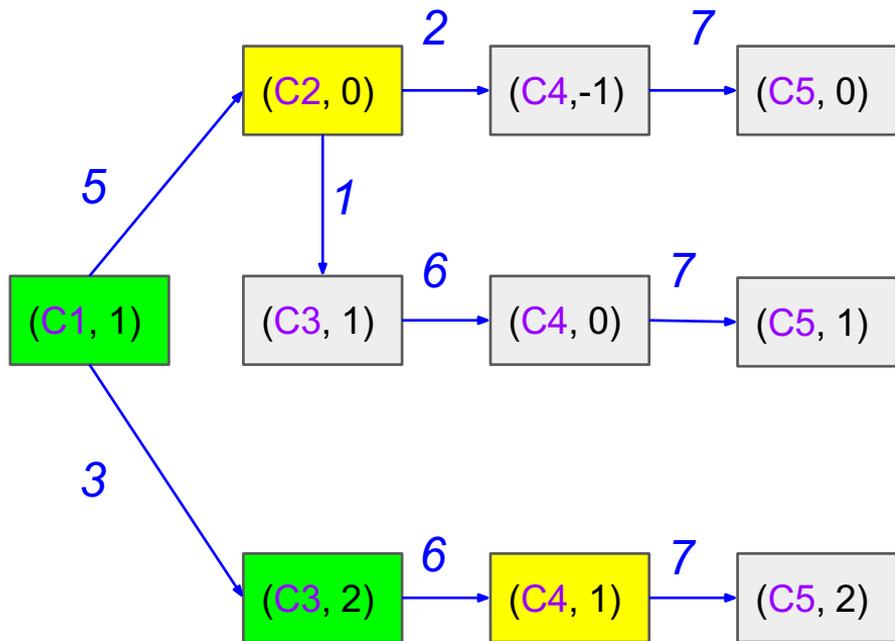
State  $s = (i, d)$  (current city, #odd-#even)

**Explored:**  
(C1, 1) : 0

**Frontier:**  
(C3, 2) : 3  
(C2, 0) : 5

→ Frontier is a priority queue.

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

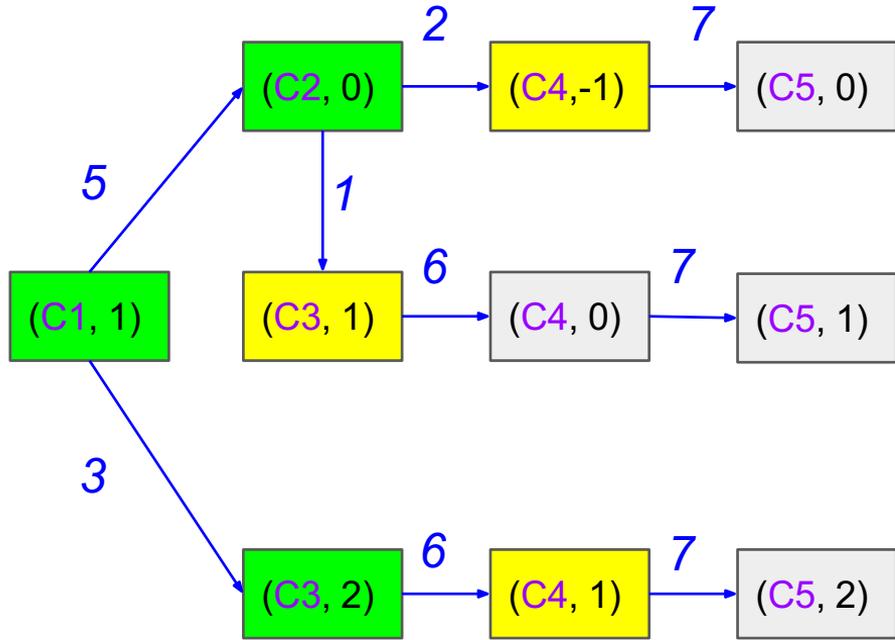
(C3, 2) : 3

Frontier:

(C2, 0) : 5

(C4, 1) : 9

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

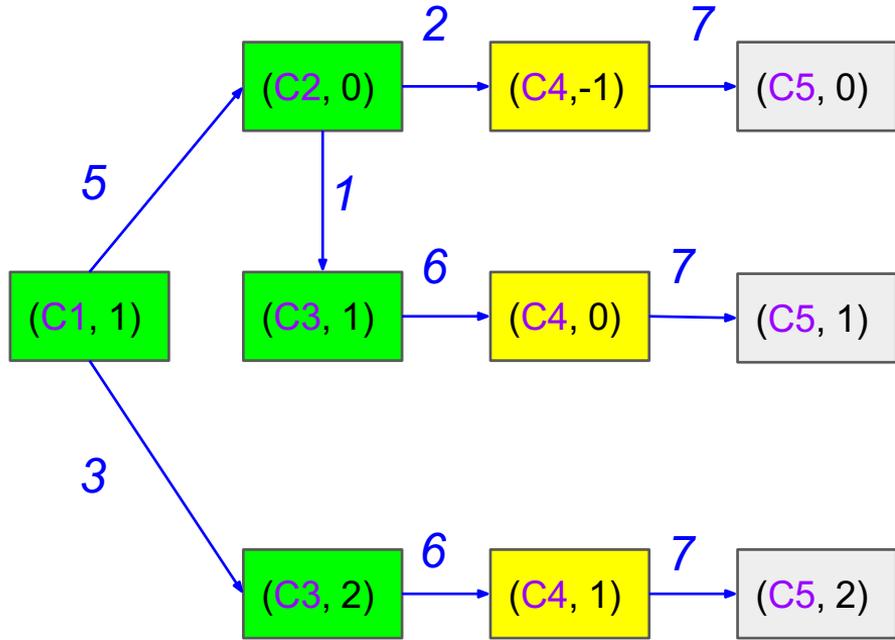
Explored:

(C1, 1) : 0  
(C3, 2) : 3  
(C2, 0) : 5

Frontier:

(C3, 1) : 6  
(C4, -1) : 7  
(C4, 1) : 9

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

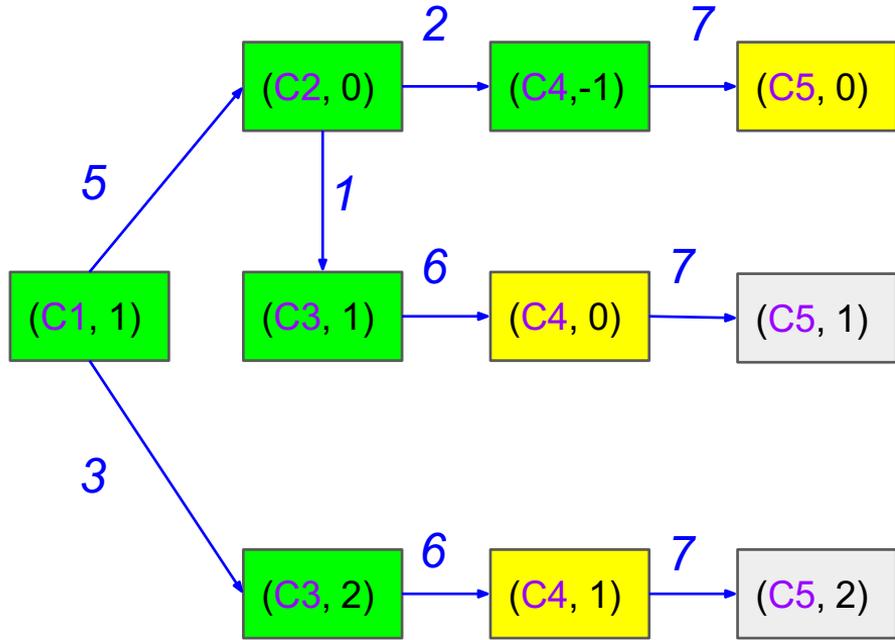
Explored:

(C1, 1) : 0  
(C3, 2) : 3  
(C2, 0) : 5  
(C3, 1) : 6

Frontier:

(C4, -1) : 7  
(C4, 1) : 9  
(C4, 0) : 12

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C3, 2) : 3

(C2, 0) : 5

(C3, 1) : 6

(C4, -1) : 7

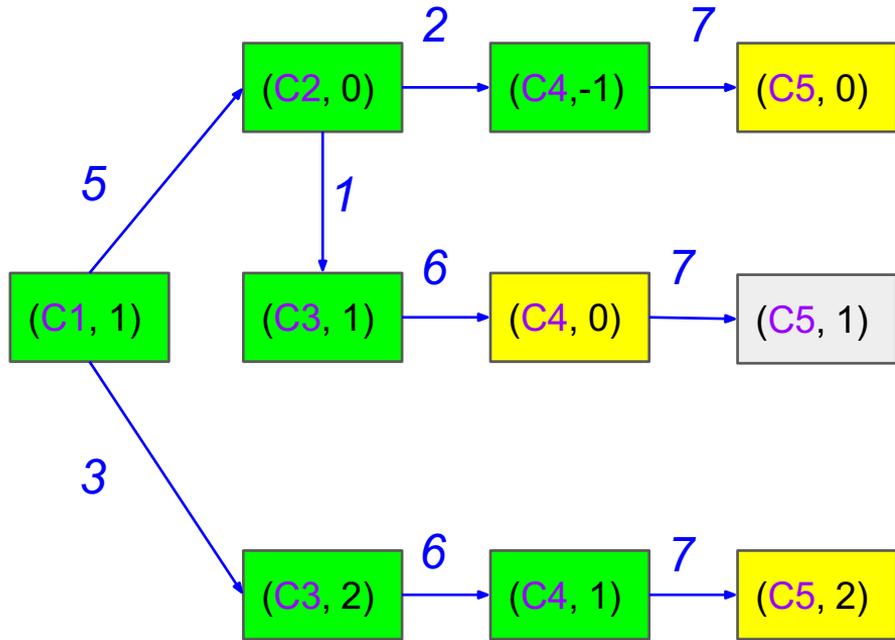
Frontier:

(C4, 1) : 9

(C4, 0) : 12

(C5, 0) : 14

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C3, 2) : 3

(C2, 0) : 5

(C3, 1) : 6

(C4, -1) : 7

(C4, 1) : 9

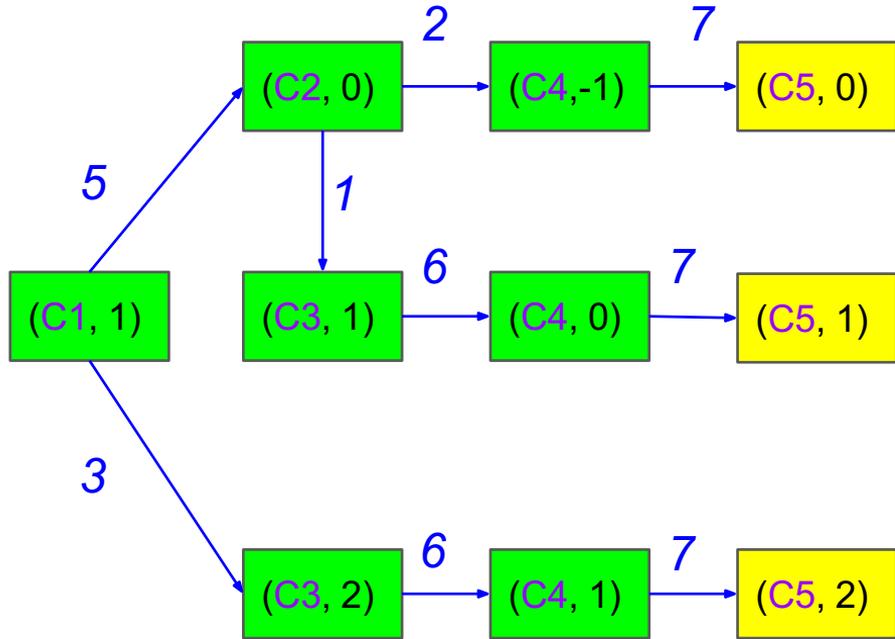
Frontier:

(C4, 0) : 12

(C5, 0) : 14

(C5, 2) : 16

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C3, 2) : 3

(C2, 0) : 5

(C3, 1) : 6

(C4, -1) : 7

(C4, 1) : 9

(C4, 0) : 12

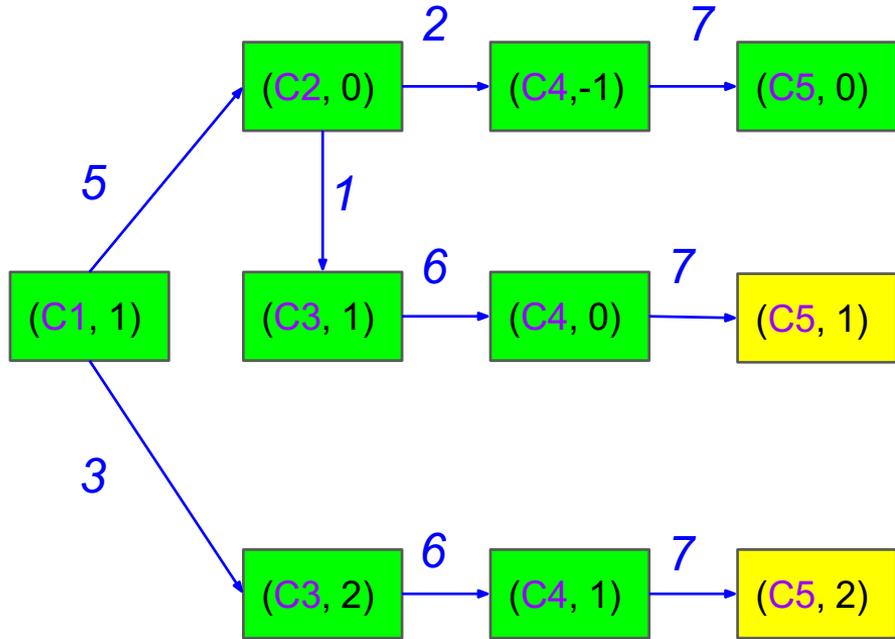
Frontier:

(C5, 0) : 14

(C5, 2) : 16

(C5, 1) : 19

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

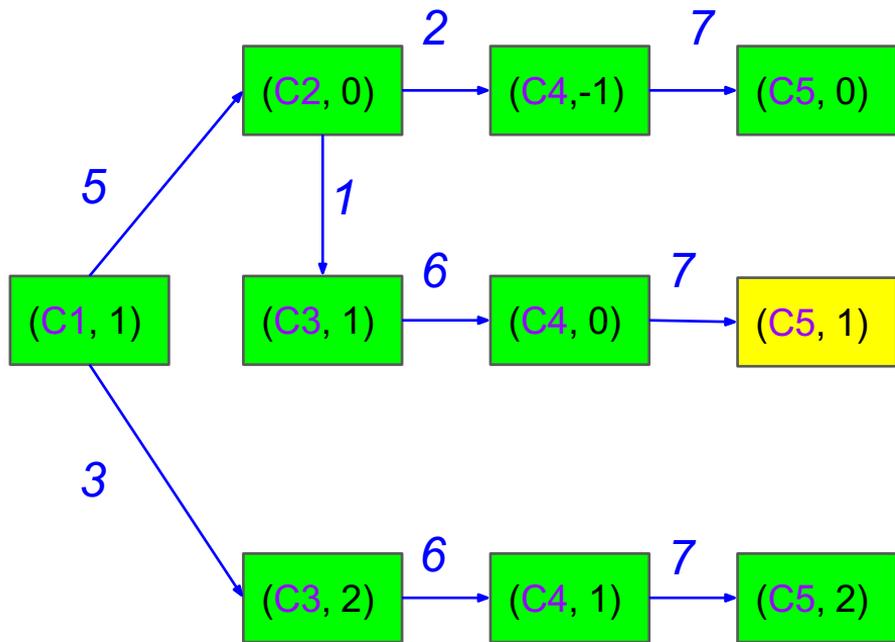
Explored:

(C1, 1) : 0  
(C3, 2) : 3  
(C2, 0) : 5  
(C3, 1) : 6  
(C4, -1) : 7  
(C4, 1) : 9  
(C4, 0) : 12  
(C5, 0) : 14

Frontier:

(C5, 2) : 16  
(C5, 1) : 19

# Simulation of UCS



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0  
(C3, 2) : 3  
(C2, 0) : 5  
(C3, 1) : 6  
(C4, -1) : 7  
(C4, 1) : 9  
(C4, 0) : 12  
(C5, 0) : 14  
(C5, 2) : 16

Frontier:

(C5, 1) : 19

**STOP!**

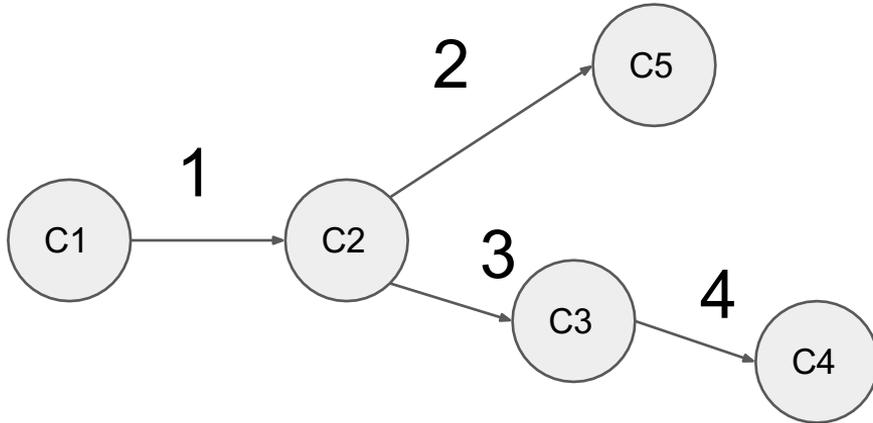
(Since we found  
C5 with  
#odd-#even > 0)

# Comparison between DP and UCS

N total states, n of which are closer than goal state

Runtime of DP is  $O(N)$

Runtime of UCS is  $O(n \log n)$



*Example:*

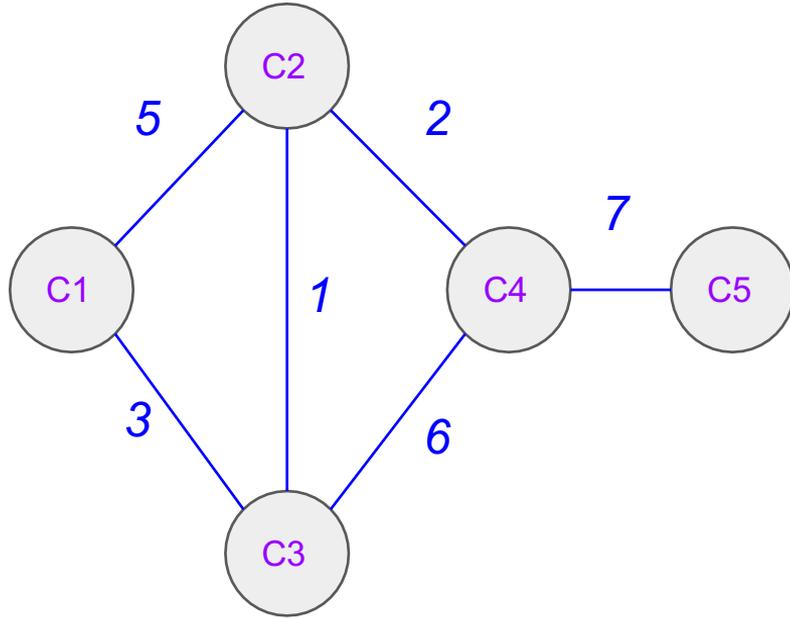
Start state C1, end state C5

-DP explores  $O(N)$  states.

-UCS will explore {C1, C2, C5} only.

C3 will be in the frontier and C4 will be unexplored.

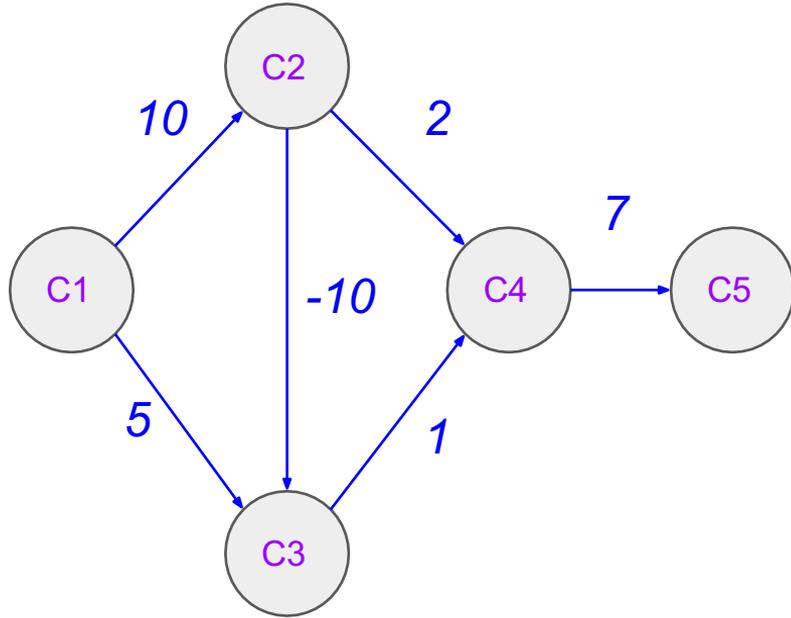
# DP cannot handle cycles



Shortest path is [C1, C3, C2, C5] with cost 13.

Hard to define subproblems in undirected or cyclic graphs.

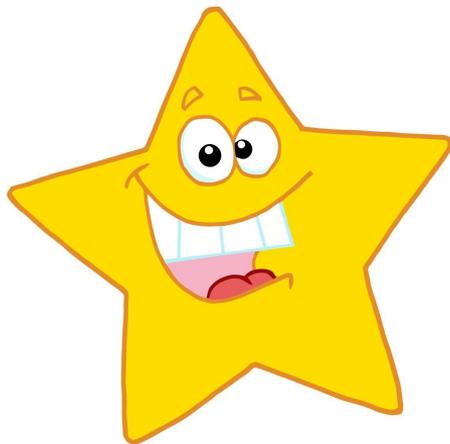
# UCS cannot handle negative edge weights



Best path is  
[C1,C2,C3,C4,C5] with  
cost of 8, but UCS will  
output [C1,C3,C4,C5] with  
cost of 13 because C3 is  
marked as 'explored'  
before C2.

*Back to our section problem,  
can we do the search faster than UCS?*





*Use A\*!*

<https://qiao.github.io/PathFinding.js/visual/>

## Recap of A\* Search from Lecture

A heuristic  $h(s)$  is any estimate of  $\text{FutureCost}(s)$ .

Run uniform cost search with **modified edge costs**:

$$\text{Cost}'(s, a) = \text{Cost}(s, a) + h(\text{Succ}(s, a)) - h(s)$$

A heuristic  $h$  is **consistent** if

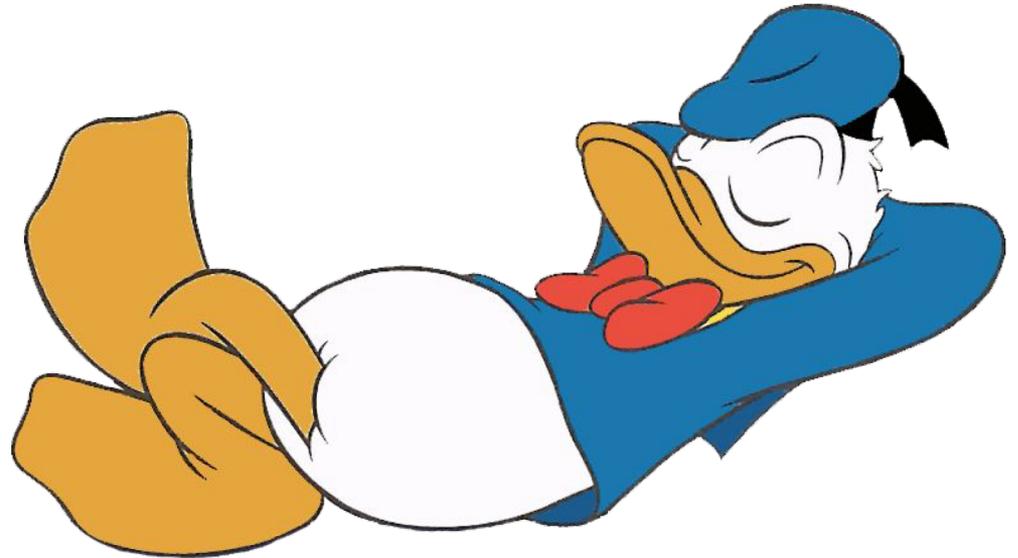
- $\text{Cost}'(s, a) = \text{Cost}(s, a) + h(\text{Succ}(s, a)) - h(s) \geq 0$
- $h(s_{\text{end}}) = 0$ .

If  $h$  is consistent, A\* returns the minimum cost path.

# Finding a Heuristic by **Relaxation**

→ try to solve an easier (less constrained) version of the problem

→ attain a problem that **can be solved more efficiently**



Relaxation, more formally:



**Definition: relaxed search problem**

A **relaxation**  $P'$  of a search problem  $P$  has costs that satisfy:

$$\text{Cost}'(s, a) \leq \text{Cost}(s, a).$$

*Which heuristic would you use to solve our problem more efficiently?*

*Hint: Relaxation!*



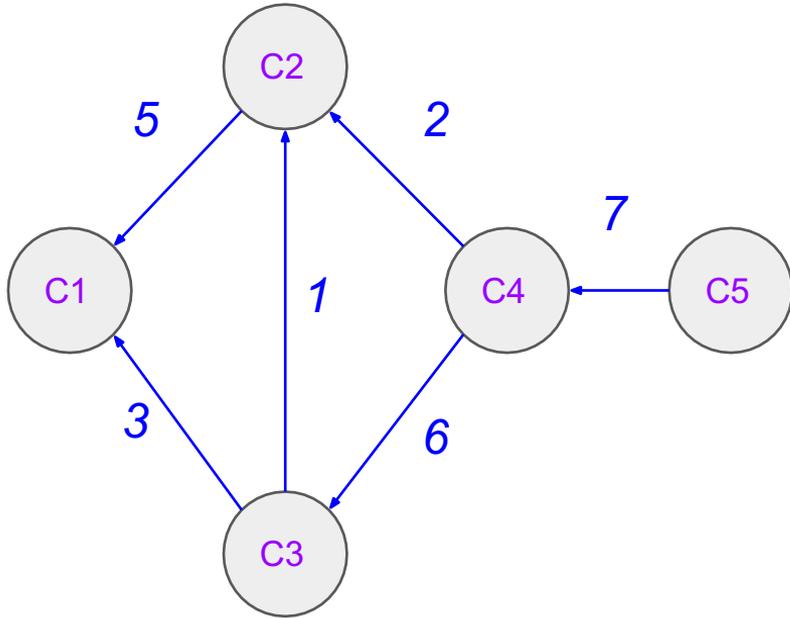
## Heuristic for our problem

Remove the constraint that we visit more odd cities than even cities.

$h(s) = h((i, d)) = \text{length of shortest path from city } i \text{ to city } N$

Note that the modified shortest path problem has  $O(N)$  states instead of  $O(N^2)$ .

# How to compute $h$ ?

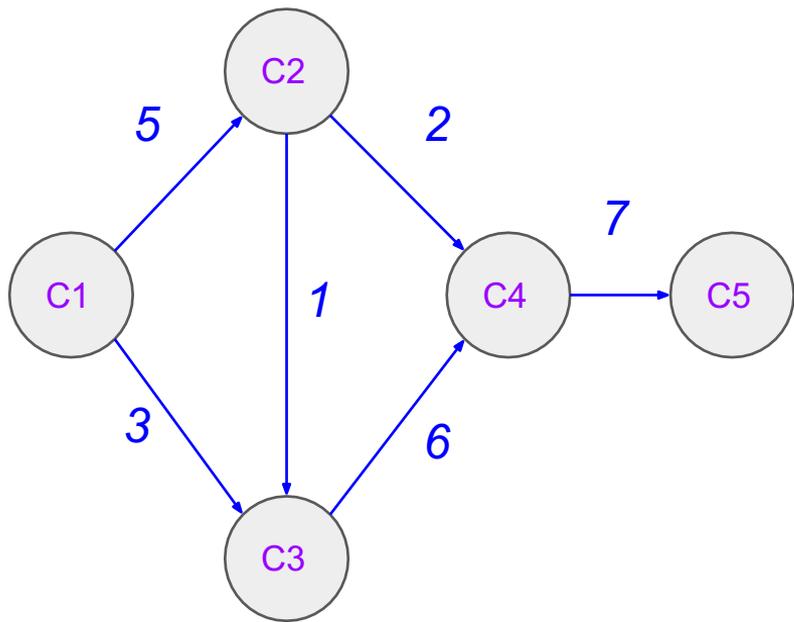


Reverse all edges, then perform UCS starting at C5 until C1 is found.

→  $O(n \log n)$  time (where  $n$  is # states whose distance to city CN is no farther than the distance of city C1 to city CN)

city	C1	C2	C3	C4	C5
$h$	14	9	13	7	0

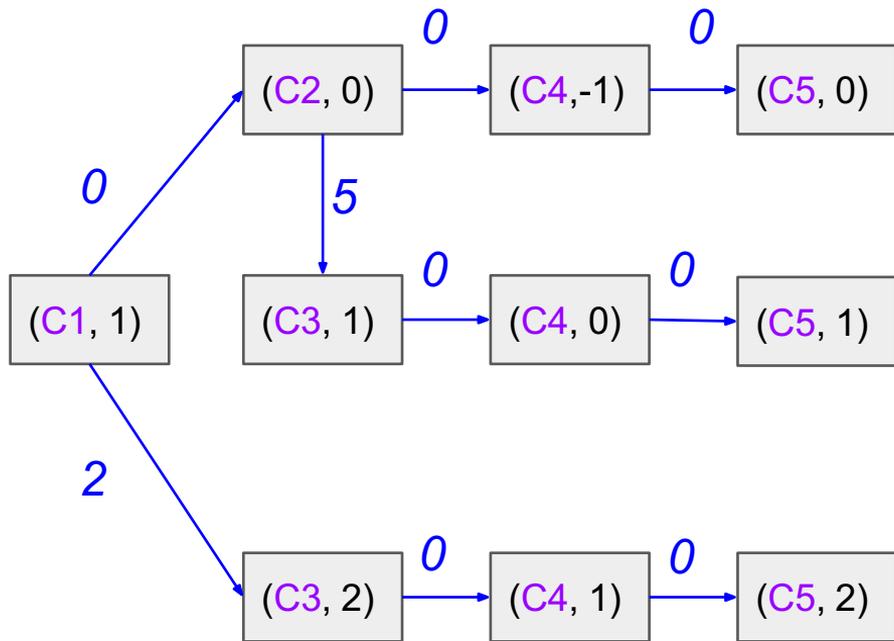
# Original Graph



city	C1	C2	C3	C4	C5
$h$	14	9	13	7	0

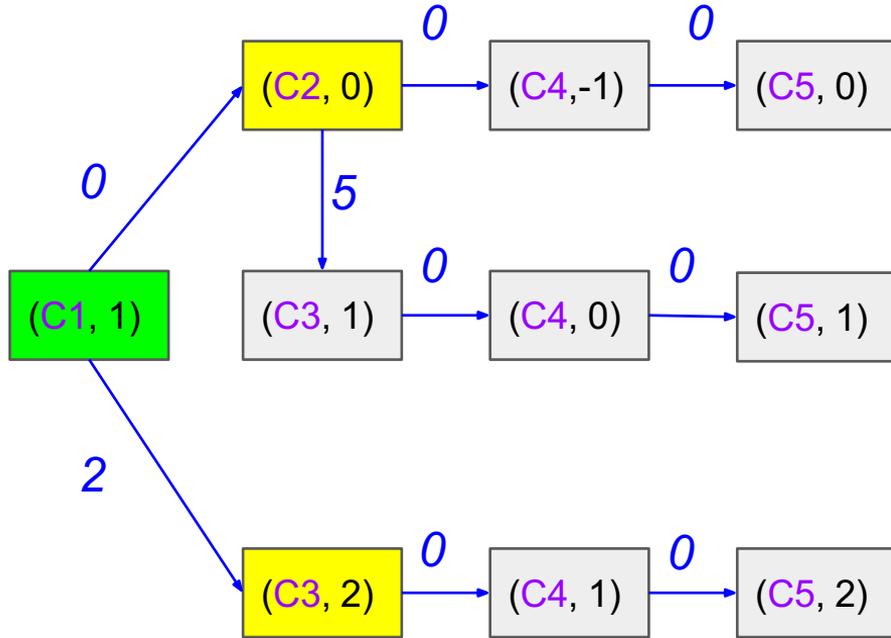
# Modified State Graph

(updated edge costs)



State  $s = (i, d)$  (current city, #odd-#even)

# Simulation of UCS (A\*)

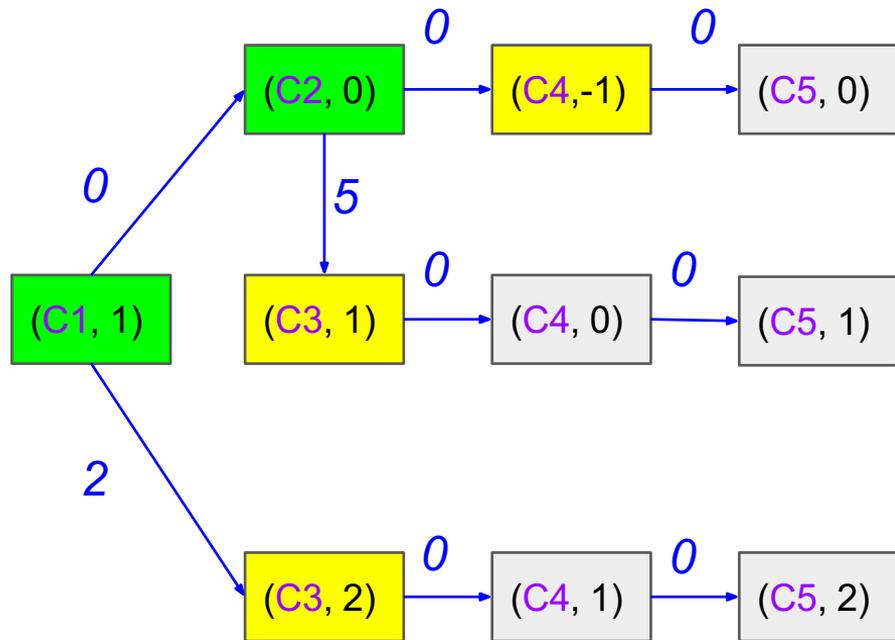


State  $s = (i, d)$  (current city, #odd-#even)

**Explored:**  
 $(C1, 1) : 0$

**Frontier:**  
 $(C2, 0) : 0$   
 $(C3, 2) : 2$

# Simulation of UCS (A\*)



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C2, 0) : 0

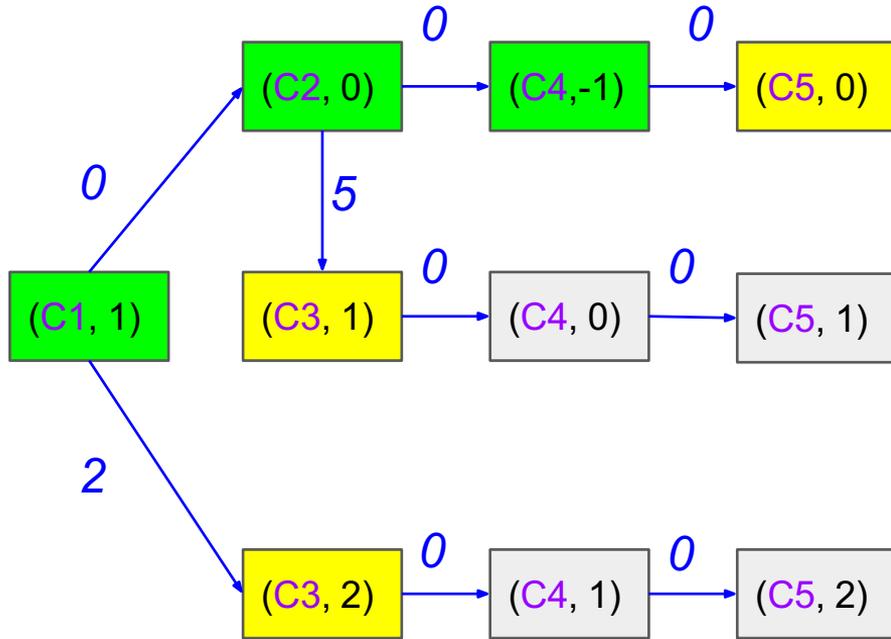
Frontier:

(C4, -1) : 0

(C3, 2) : 2

(C3, 1) : 5

# Simulation of UCS (A\*)

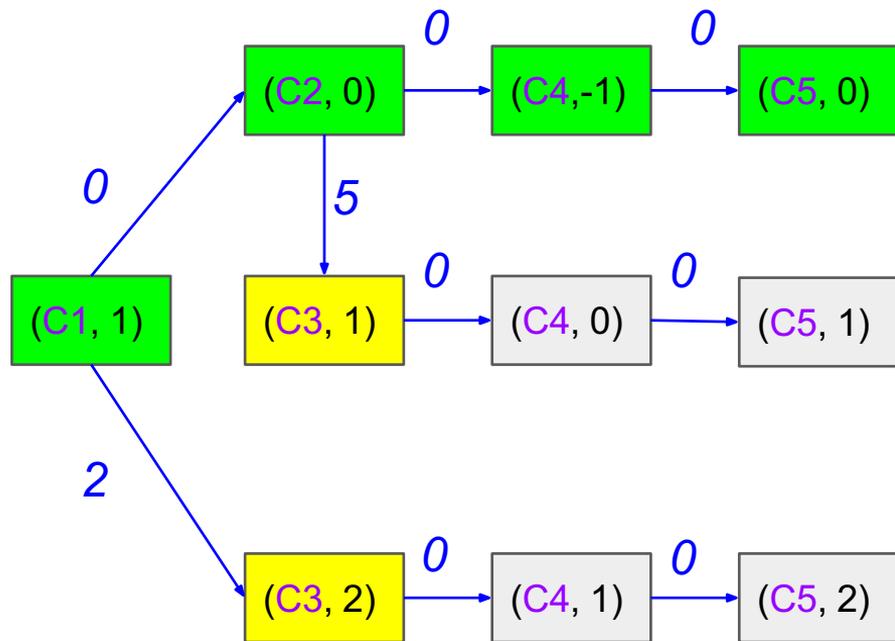


State  $s = (i, d)$  (current city, #odd-#even)

Explored:  
(C1, 1) : 0  
(C2, 0) : 0  
(C4, -1) : 0

Frontier:  
(C5, 0) : 0  
(C3, 2) : 2  
(C3, 1) : 5

# Simulation of UCS (A\*)



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C2, 0) : 0

(C4, -1) : 0

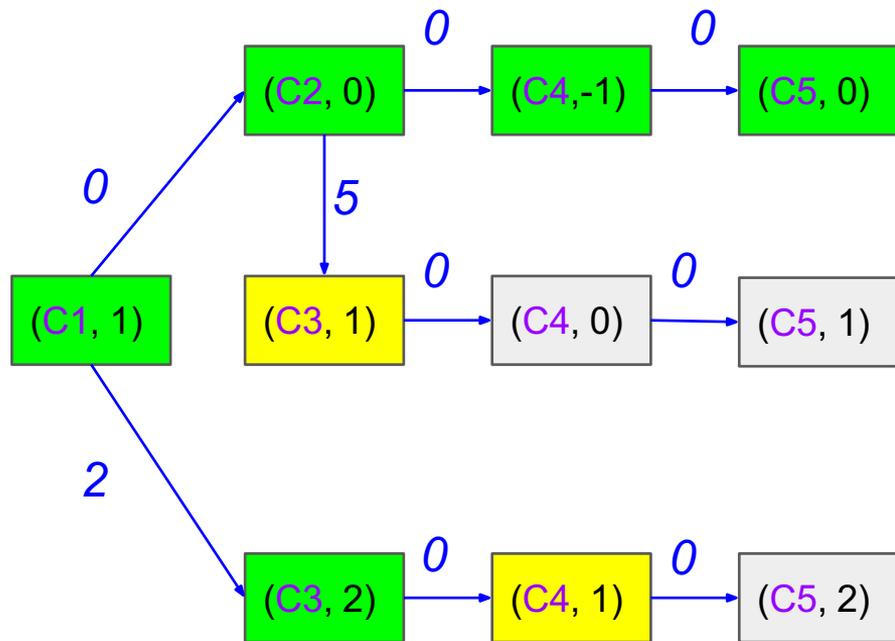
(C5, 0) : 0

Frontier:

(C3, 2) : 2

(C3, 1) : 5

# Simulation of UCS (A\*)



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C2, 0) : 0

(C4, -1) : 0

(C5, 0) : 0

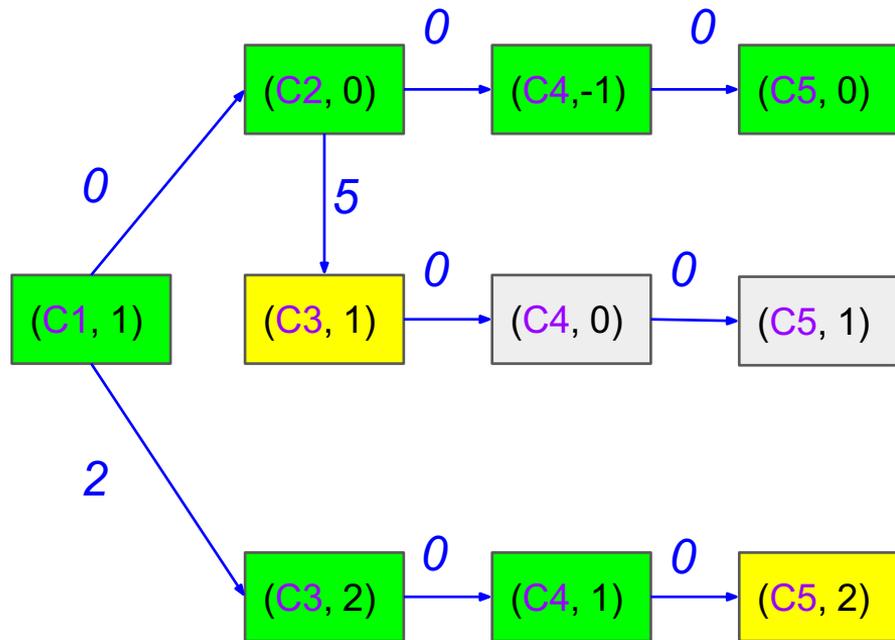
(C3, 2) : 2

Frontier:

(C4, 1) : 2

(C3, 1) : 5

# Simulation of UCS (A\*)



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C2, 0) : 0

(C4, -1) : 0

(C5, 0) : 0

(C3, 2) : 2

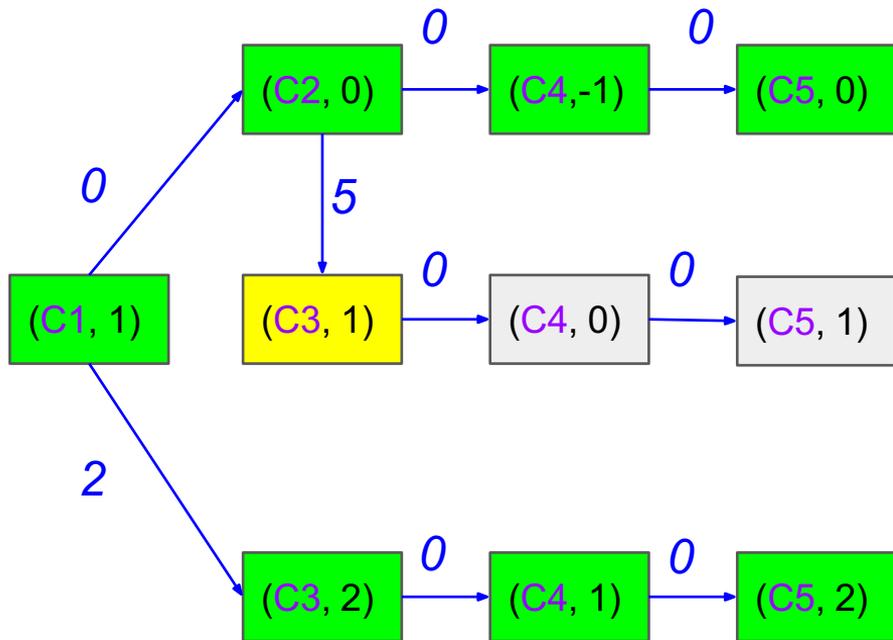
(C4, 1) : 2

Frontier:

(C5, 2) : 2

(C3, 1) : 5

# Simulation of UCS (A\*)



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0

(C2, 0) : 0

(C4, -1) : 0

(C5, 0) : 0

(C3, 2) : 2

(C4, 1) : 2

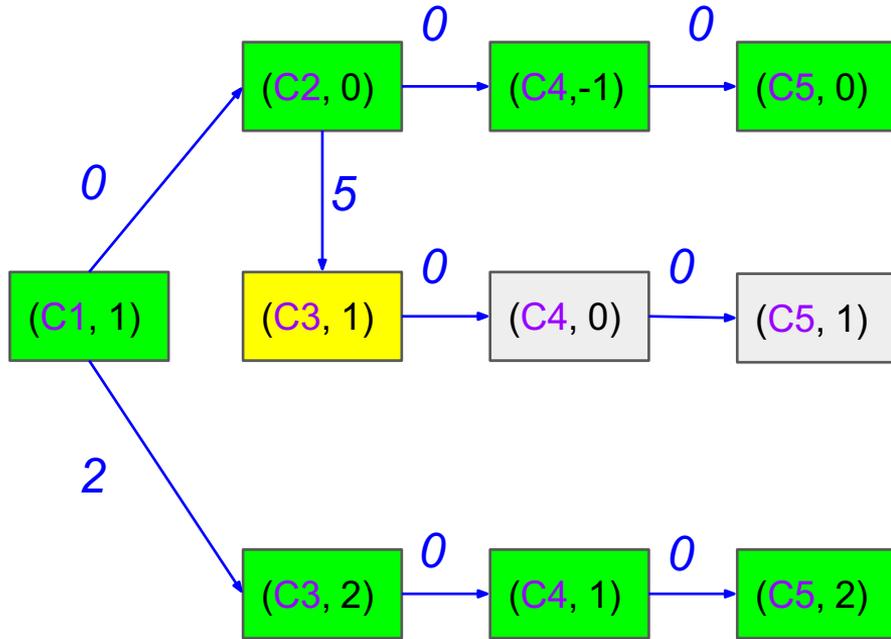
(C5, 2) : 2

Frontier:

(C3, 1) : 5

**STOP!**

# Simulation of UCS (A\*)



State  $s = (i, d)$  (current city, #odd-#even)

Explored:

(C1, 1) : 0  
(C2, 0) : 0  
(C4, -1) : 0  
(C5, 0) : 0  
(C3, 2) : 2  
(C4, 1) : 2  
(C5, 2) : 2

Frontier:

(C3, 1) : 5

Actual Cost is  $2 + h(1) = 2 + 14 = 16$

# Comparison of States visited

## UCS

Explored:	Frontier:
(C1, 1) : 0	(C5, 1) : 19
(C3, 2) : 3	
(C2, 0) : 5	
(C3, 1) : 6	
(C4, -1) : 7	
(C4, 1) : 9	
(C4, 0) : 12	
(C5, 0) : 14	
(C5, 2) : 16	

## UCS(A\*)

Explored:	Frontier:
(C1, 1) : 0	(C3, 1) : 5
(C2, 0) : 0	
(C4, -1) : 0	
(C5, 0) : 0	
(C3, 2) : 2	
(C4, 1) : 2	
(C5, 2) : 2	

# Comparison of States visited

## UCS

Explored:

(C1, 1) : 0

(C3, 2) : 3

(C2, 0) : 5

(C3, 1) : 6

(C4, -1) : 7

(C4, 1) : 9

(C4, 0) : 12

(C5, 0) : 14

(C5, 2) : 16

Frontier:

(C5, 1) : 19

UCS explored 9 states

## UCS(A\*)

Explored:

(C1, 1) : 0

(C2, 0) : 0

(C4, -1) : 0

(C5, 0) : 0

(C3, 2) : 2

(C4, 1) : 2

(C5, 2) : 2

Frontier:

(C3, 1) : 5

UCS(A\*) explored 7 states

# Summary

- ***States Representation/Modelling***
  - make state representation compact, remove unnecessary information
- ***DP***
  - underlying graph cannot have cycles
  - visit all reachable states, but no log overhead
- ***UCS***
  - actions cannot have negative cost
  - visit only a subset of states, log overhead
- ***A\****
  - Introduce heuristic to guide search
  - ensure that relaxed problem can be solved more efficiently

*Now let's practice modeling our search problems!*

