

# **Constraint Satisfaction Problem (CSP) Review**

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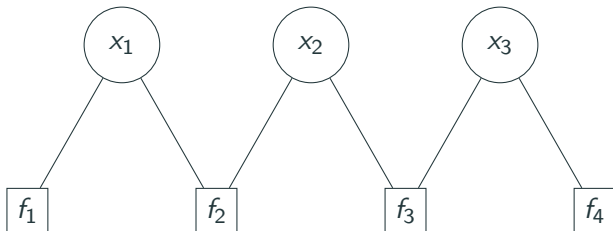
# Constraint Satisfaction Problem (CSP) Review

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## Defining CSPs

## Variable-Based Models

Search, MDPs, and games are **state**-based models. CSPs are **variable**-based models. Think in terms of **variables** ( $x_i$ ), **factors** ( $f_i$ ), and **weights**.

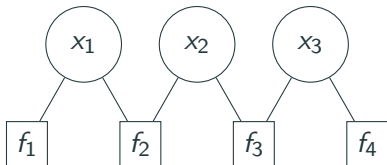


Solution to problems are assignments to **variables**. Use **inference** to make decisions (algorithms do more work now, compare to search where states did work).

# Factor Graphs

## Definition Factor Graph

- **Variables:**  $X = (X_1, \dots, X_n)$  where  $X_i \in \text{Domain}_i$
- **Factors:**  $f_1, \dots, f_m$ , with each  $f_j(X) \geq 0$ .
  - How good is assignment  $X$



- **Scope** of factor  $f_j$ : set of variables it depends on.
- **Arity** of  $f_j$ : number of variables in scope.
  - **Unary** (1 variables); **Binary** (2 variables)
- **Constraints:** factors that return 0 or 1.

# Assignment Weights

## Definition

**Assignment Weight:** Every assignment  $x = (x_1, \dots, x_n)$  has weight

$$\text{Weight}(x) = \prod_{j=1}^m f_j(x)$$

- **Consistent** if  $\text{Weight}(x) > 0$ .
- A CSP is **satisfiable** if  $\max_x \text{Weight}(x) > 0$ .

**Objective:** Find the maximum weight assignment:

$$\operatorname{argmax}_x \text{Weight}(x)$$

## Test Your Understanding

If a CSP has an assignment  $\hat{x}$  such that  $\text{Weight}(\hat{x}) = 5$ , is the CSP satisfiable? Recall that a CSP is **satisfiable** if

$$\max_x \text{Weight}(x) > 0$$

## Test Your Understanding

If a CSP has an assignment  $\hat{x}$  such that  $\text{Weight}(\hat{x}) = 5$ , is the CSP satisfiable? Recall that a CSP is **satisfiable** if

$$\max_x \text{Weight}(x) > 0$$

Yes,  $\hat{x}$  implies that the weight of the maximizing  $x$  is greater than zero.

## Test Your Understanding

If a CSP has a factor  $\hat{f}(x) = 0$  for all  $x$ , is the CSP satisfiable?



## Test Your Understanding

If a CSP has a factor  $\hat{f}(x) = 0$  for all  $x$ , is the CSP satisfiable?

No, the weight is the product of all factors and  $\hat{f}$  is always zero.  
Hence the weight will always be zero.

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## Solving CSPs

# Dependent Factors

## Definition

**Dependent Factors:**  $D(x, X_i)$  is the set of factors depending on  $X_i$  (a single variable) and  $x$  (partial assignment) but not on unassigned variables.

- If you have assigned  $x_1$  and  $x_2$  in  $x$ , then  $D(x, X_3)$  will be all factors that depend on  $x_3$  and one/both of  $x_1$  and  $x_2$ .
  - i.e. if we want to assign  $x_3$  next, what constraints (factors) are relevant?
- **Idea:** choose  $x_3$  to satisfy all factors in  $D(x, X_3)$ !

# Backtracking Search

Backtrack( $x, w, \text{Domains}$ ):

- If  $x$  is completely assigned, check if best and return.
- Choose unassigned variable  $X_i$  (component in  $x$ )
- Order  $\text{Domain}_i$  (corresponds to chosen  $X_i$ )
- For each  $v \in \text{Domain}_i$ :
  - Compute value of newly resolvable factors, setting  $x_i = v$ :

$$\delta = \prod_{f_j \in D(x, X_i)} f_j(x \cup \{X_i : v\})$$

- If  $\delta = 0$ ? Continue (at least one factor not satisfied).
- (Optional) Shrink domain to  $\text{Domains}'$  (Lookahead).
  - If any  $\text{Domains}'_i$  is empty, continue.
- Backtrack( $x \cup \{X_i : v\}, w\delta, \text{Domains}'$ )

## Forward Checking (One-Step Lookahead)

- We consider an assignment for variable  $X_i$ .
- We can remove any values from neighbors of  $X_i$  that would violate factors. If any of these neighboring domains become empty, no solution, can skip this assignment.
  - Note that these 'neighbors' are only  $X_j$  that are not assigned in  $x$ .

Example:  $x_1, x_2$ , and  $x_3$ . Domain is  $\{-1, 0, 1\}$ . Constraints  $f(x) = x_1x_2 = -1$ . See that choosing  $x_1 = 0$  leads to an empty lookahead domain for  $x_2$ .

# Backtracking Search

Backtrack( $x, w, \text{Domains}$ ):

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## Choosing Unassigned Variable:

- Choose the variable with the smallest domain.
- Heuristic - most constrained (smaller branching factors)

## Ordering $\text{Domain}_i$ :

- What order do we try values of  $X_i$ ?
- Try the ones with the largest number of consistent values of neighboring variables.
- i.e. descending order of total size of possible *consistent* options for neighbors after selecting  $x_i = v$ .
- Impose fewest constraints on neighbors.

## Definition

**Arc Consistency:** A variable  $X_i$  is *arc consistent* with respect to  $X_j$  if for each  $x_i \in \text{Domain}_i$  there exists  $x_j \in \text{Domain}_j$  such that

$$f(\{X_i : x_i, X_j : x_j\}) \neq 0$$

for all  $f$  whose scope contains  $X_i$  and  $X_j$

If there is some choice for  $X_i$  that has no viable  $X_j$ , we don't need it! Can use this to shrink domain in lookahead.



**Algorithm: AC-3**

$S \leftarrow \{X_j\}$ .

While  $S$  is non-empty:

    Remove any  $X_j$  from  $S$ .

    For all neighbors  $X_i$  of  $X_j$ :

        Enforce arc consistency on  $X_i$  w.r.t.  $X_j$ .

        If  $\text{Domain}_i$  changed, add  $X_i$  to  $S$ .

Be careful, this is only a **local** view!

# Beam Search

Greedy search is like DFS, but choose the assignment that gives the largest weight and explore from there.

- Will finish in  $|X|$  steps.
- Cannot guarantee optimal whatsoever.
- Compromise: Greedy DFS but keep track of  $K$  best candidates at each depth.
- Still not guaranteed, but better!

Denote the **size of the beam** as  $K$ . Then:

- $K = 1$  is what?

# Beam Search

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- $K = 1$  is what? **Greedy**
- $K = \infty$  is what?

# Beam Search

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- Will finish in  $|X|$  steps.
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Denote the **size of the beam** as  $K$ . Then:

- $K = 1$  is what? **Greedy**
- $K = \infty$  is what? **BFS**

Beam search is like a pruned BFS. Backtracking is DFS.

Backtracking and beam search build up assignments. Funnily enough, backtracking can't 'backtrack' information found deeper in a tree to earlier assignments. If we reach a state that would be feasible with just one variable change earlier, nothing we can do.

Solution: **Local Search**.

# Local Search

Consider a completed assignment  $x$ . Try to improve it.

- **Locality**: To re-assign  $X_i$ , only need to consider factors that depend on  $X_i$ .
- **Iterated Conditional Modes (ICM)** For each variable, try all feasible re-assignments and pick the one with the highest weight.
- Keep looping to convergence.
- Not guaranteed optimal, local minima.
  - However,  $\text{Weight}(x)$  does monotonically increase.

# Constraint Satisfaction Problem (CSP) Review

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## Summary

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To solve CSPs we use variations of backtracking.

- Can use one-step lookahead to reduce domains after assigning a variable.
- Heuristics for choosing which variable to assign next, and what order to consider the values in the domain of that variable.
- **Arc Consistency** (AC-3) reduces domains to be consistent before starting the problem.
- **Beam Search** reduces the number of things to try in backtracking (branching) but decreases accuracy.
- **Local Search** given an assignment, iteratively try to improve it.



# Algorithms

<b>Algorithm</b>	<b>Strategy</b>	<b>Optimality</b>	<b>Time Complexity</b>
Backtracking	Extend partial assignment	Exact	exponential
Beam Search	Extend partial assignment	Approximate	linear
Local Search	Modify complete assignment	Approximate	linear

# Problems

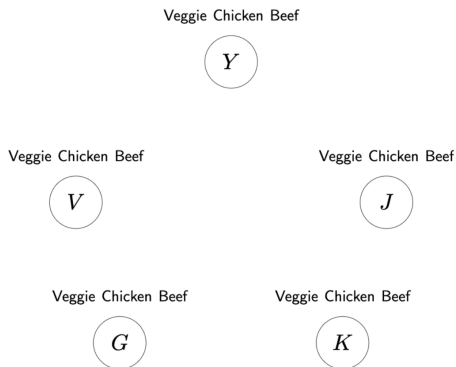
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# Problems

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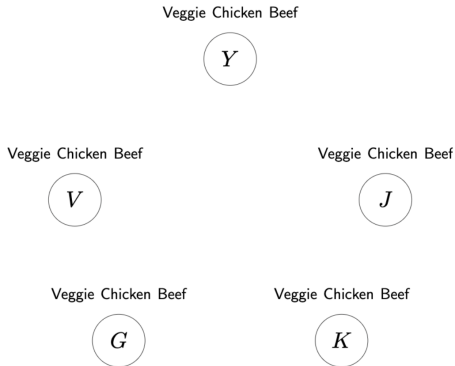
## Dinner Table CSP

# Dinner Table CSP



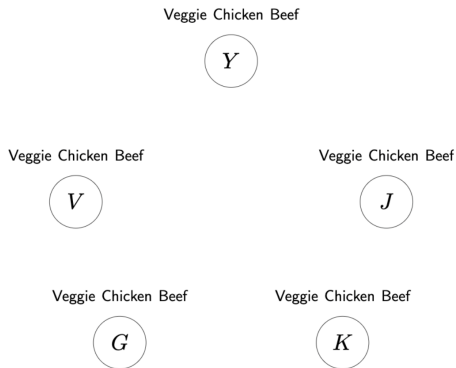
1. You ( $Y$ ) are vegetarian.
2. If Veronica ( $V$ ) orders beef, then Jarvis ( $J$ ) will order veggie, and vice versa.
3. Kanti ( $K$ ) and Jarvis ( $J$ ) do not want to both get non-chicken dishes.
4. Each person wants to order something different than what the two friends sitting next to them order.

# Dinner Table CSP



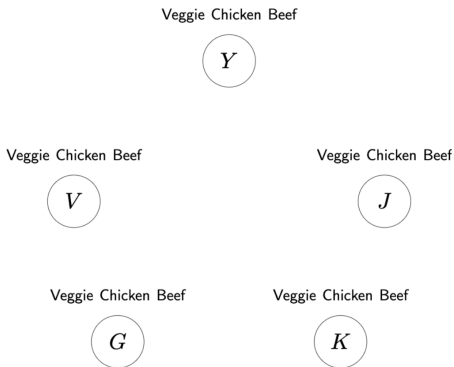
- What are the variables  $X = (X_1, \dots, X_n)$ ?
- What are the values that can be assigned to each variable?

# Dinner Table CSP



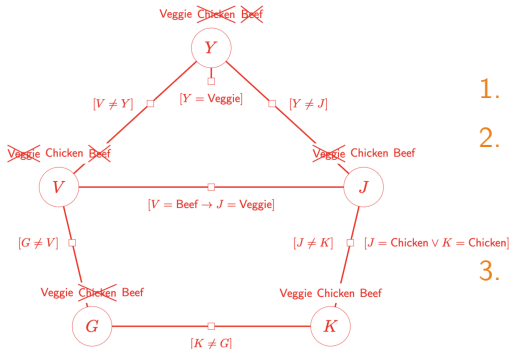
- What are the variables  
 $X = (X_1, \dots, X_n)$ ?
- The variables are the people at the dinner, i.e.  
 $X = [Y, J, K, G, V]$ .
- What are the values that can be assigned to each variable?
- The values that can be assigned to each variable are the possible types of dishes, i.e. [Veggie, Chicken, Beef].

# Dinner Table CSP



- What are the factors between the variables?
1. You ( $Y$ ) are vegetarian.
  2. If Veronica ( $V$ ) orders beef, then Jarvis ( $J$ ) will order veggie, and vice versa.
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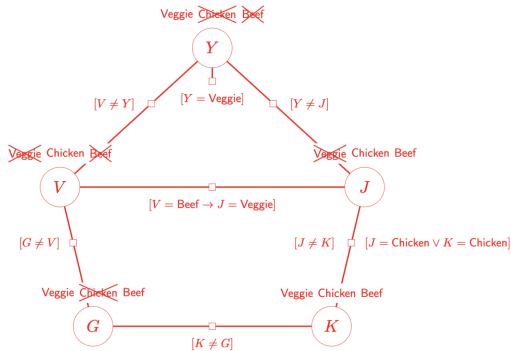


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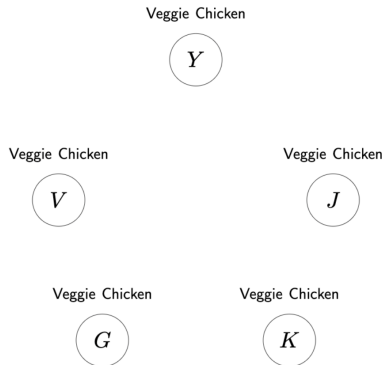


# Dinner Table CSP



- Arc consistency: cross out the values in the domain that are removed by the constraints.

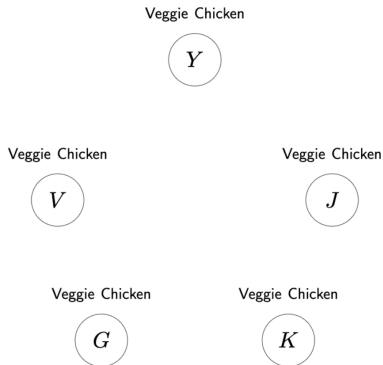
# Dinner Table CSP



Your server comes by your table and says that they are out of beef today, so you and your friends decide to rework your constraints. Now they are:

1. You (*Y*) are vegetarian.
2. Each person wants to order something different than what the two friends sitting next to them order.

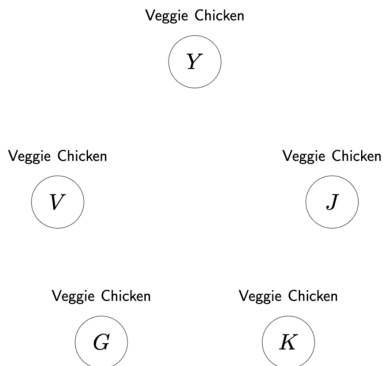
# Dinner Table CSP



1. You ( $Y$ ) are vegetarian.
2. Each person wants to order something different than what the two friends sitting next to them order.

Is this new constraint problem satisfiable?

# Dinner Table CSP

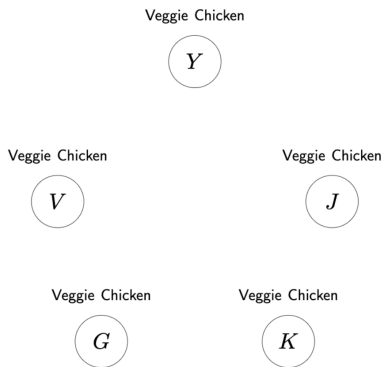


1. You ( $Y$ ) are vegetarian.
2. Each person wants to order something different than what the two friends sitting next to them order.

Is this new constraint problem satisfiable?

Nope! Assign  $Y = \text{veggie}$ , then alternate chicken and veggie clockwise.  $Y$  and  $V$  won't be arc consistent.

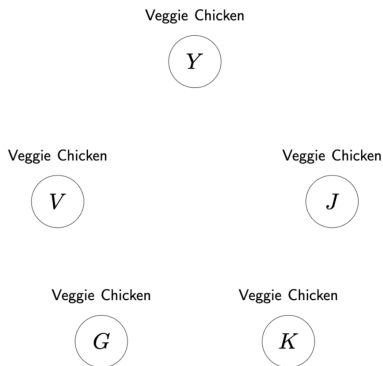
# Dinner Table CSP



1. You ( $Y$ ) are vegetarian.
2. Instead of hard requiring every adjacent person to order something different, it is now only a preference. To represent this, you define factors:

- $f_1(Y, J) = \mathbb{1}[Y \neq J] + 1$
- $f_2(J, K) = \mathbb{1}[J \neq K] + 1$
- $f_3(K, G) = \mathbb{1}[K \neq G] + 1$
- $f_4(G, V) = \mathbb{1}[G \neq V] + 1$
- $f_5(V, Y) = \mathbb{1}[V \neq Y] + 1$

# Dinner Table CSP



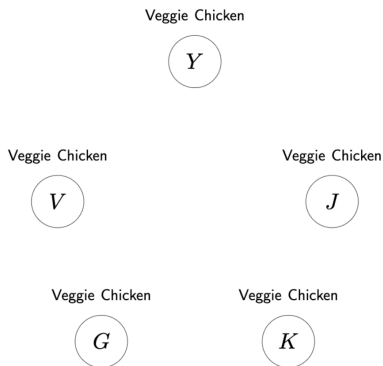
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- $f_5(V, Y) = \mathbb{1}[V \neq Y] + 1$

$$\text{Weight}(X) = \prod_i^m f_i(X) > 0$$

An assignment to  $X$  is consistent if its weight is  $> 0$ .

A problem is satisfiable if  $\max \text{weight} > 0$ .

# Dinner Table CSP



- $f_1(Y, J) = \mathbb{1}[Y \neq J] + 1$
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- $f_5(V, Y) = \mathbb{1}[V \neq Y] + 1$

$$\text{Weight}(X) = \prod_k^m f_k(X) > 0$$

What is the max weight?

Alternate veggie, chicken  
clockwise starting from  $Y$ .  
Weight =  $2^4 * 1 = 16$ .

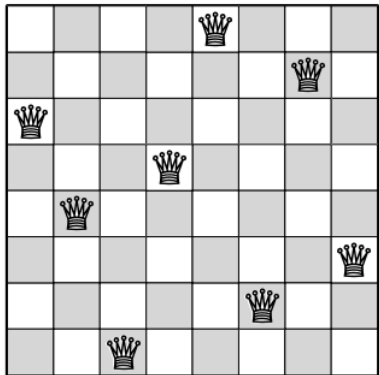
# Problems

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## N-Queens



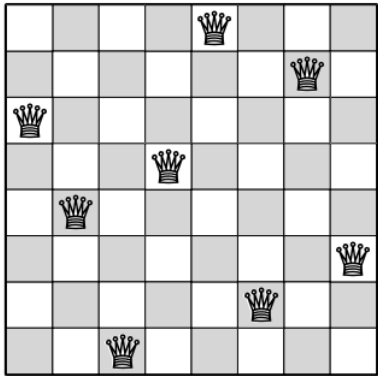
# N-Queens



The N-Queens problem is a classic puzzle involving the placement of  $N$  chess queens on an  $N \times N$  chessboard so that no two queens threaten each other.

To formulate this as a CSP, let our variables be the column placement of each queen  $Q = Q_1, \dots, Q_N$ , where  $Q_1$  is the queen of the first row, etc.

# N-Queens

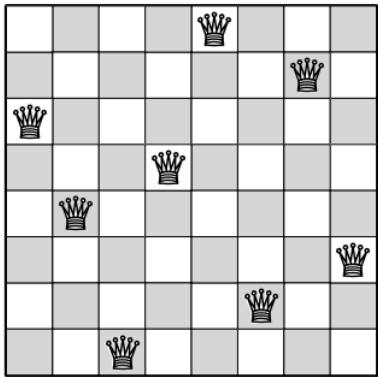


To formulate this as a CSP, let our variables be the column placement of each queen  $Q = Q_1, \dots, Q_N$ , where  $Q_1$  is the queen of the first row, etc.

Our constraints are then:

- No two queens are in the same column
- No two queens are on the same diagonal of the chess board

# N-Queens

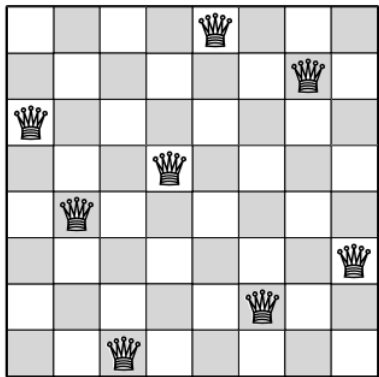


Our constraints are then:

- No two queens are in the same column
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How do we write these as factors?

# N-Queens



Our constraints are then:

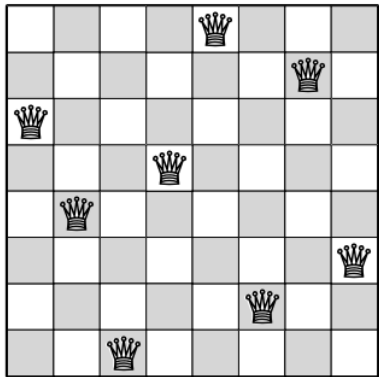
- No two queens are in the same column

$$f_1(Q_i, Q_j) = \mathbb{1}[Q_i \neq Q_j]$$

- No two queens are on the same diagonal of the chess board

$$f_2(Q_i, Q_j) = \mathbb{1}[|Q_i - Q_j| \neq |i - j|]$$

# N-Queens



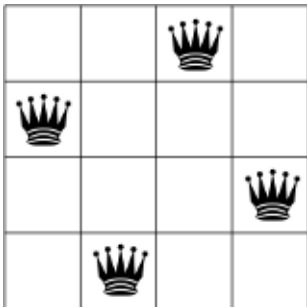
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Defining our factors this way gives us a 0 weight for an assignment that violates any constraints.

$$\text{Weight}(X) = \prod_k^m f_k(X) > 0$$

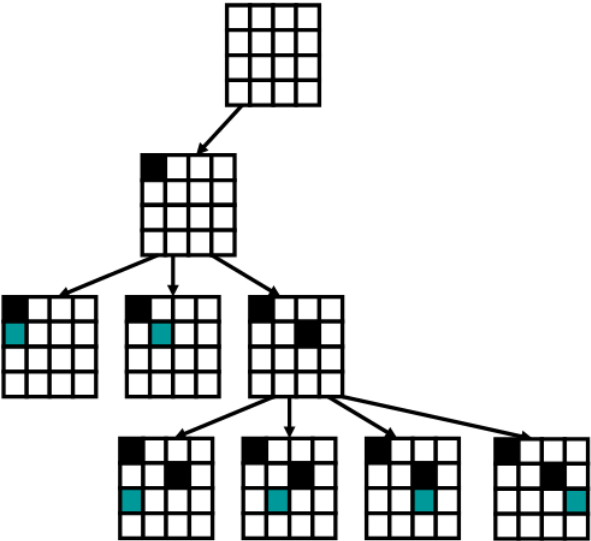
# N-Queens



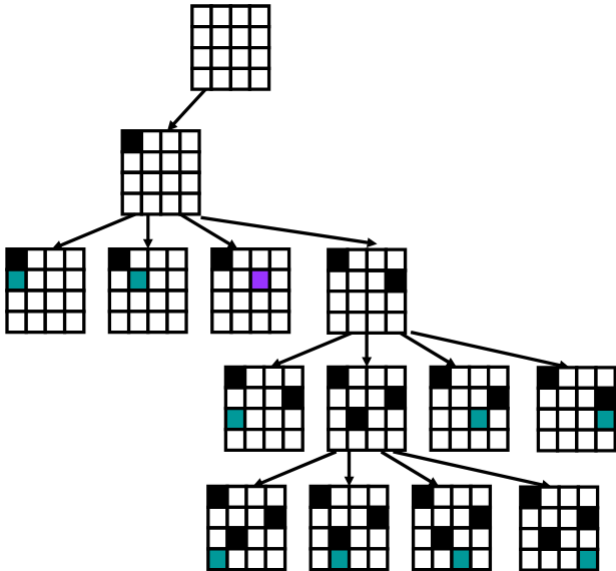
Suppose  $N = 4$ , and we want to find a solution to the 4-Queens problem through backtracking search.

We'll use the convention of starting our assignments from the top-most row 1, and go left to right from column 1 to 4, before moving on to the next row, with the last row 4 at the bottom of the board.

# 4-Queens - Backtracking Search

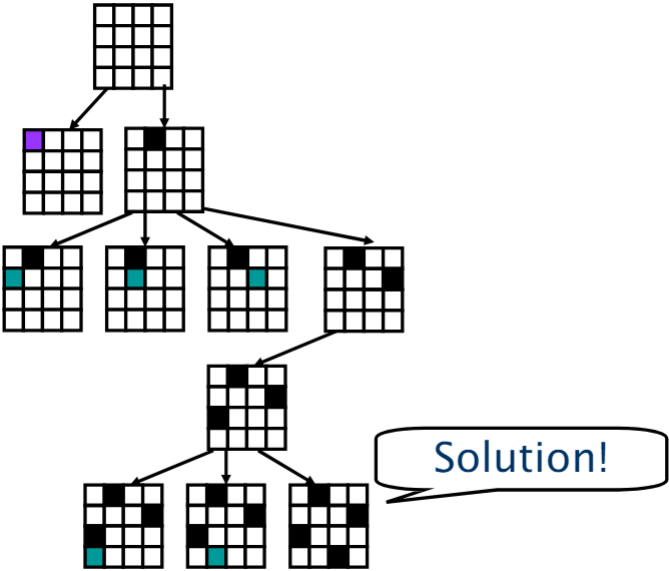


# 4-Queens - Backtracking Search

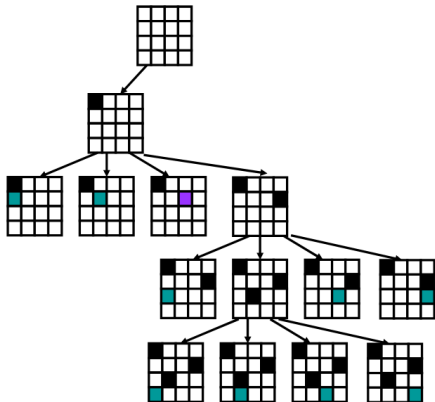




# 4-Queens - Backtracking Search



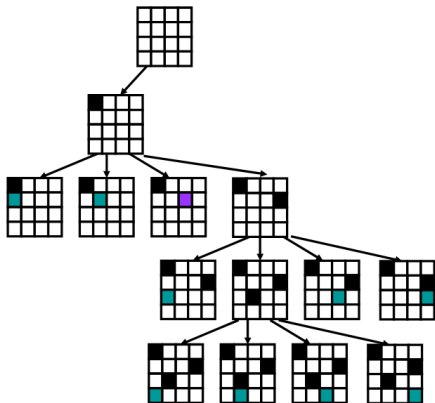
## 4-Queens - Forward Checking



This branch where we assign  $Q_1 = 1$  ended up being a dead end.

How do we use forward checking to reduce the computation we would've needed to realize that?

## 4-Queens - Forward Checking



Forward checking:

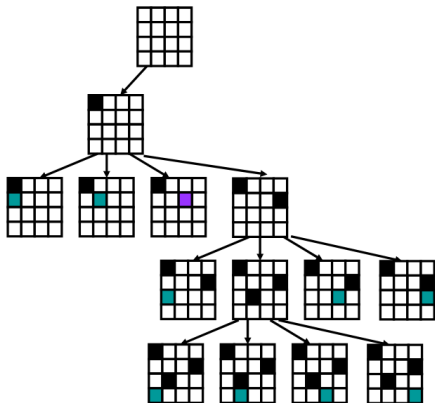
- Assign  $Q_1 = 1$

Remove from the domain any values for the other variables  $Q_i$  where  $f_k(Q_1, Q_i) = 0$ .

- $f_1(Q_1 = 1, Q_2 = 1) = 0$   
(column constraint)
- $f_2(Q_1 = 1, Q_2 = 2) = 0$   
(diagonal constraint)

Thus,  $Q_2 : \{1, 2, 3, 4\} \rightarrow \{3, 4\}$

## 4-Queens - Forward Checking



Forward checking:

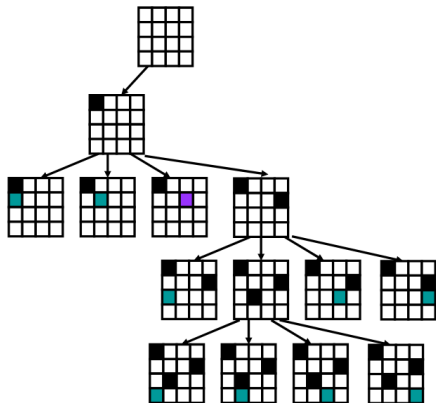
- Assign  $Q_1 = 1$

Remove from the domain any values for the other variables  $Q_i$  where  $f_k(Q_1, Q_i) = 0$ .

- $f_1(Q_1 = 1, Q_3 = 1) = 0$   
(column constraint)
- $f_2(Q_1 = 1, Q_3 = 3) = 0$   
(diagonal constraint)

Thus,  $Q_3 : \{1, 2, 3, 4\} \rightarrow \{2, 4\}$

## 4-Queens - Forward Checking



Forward checking:

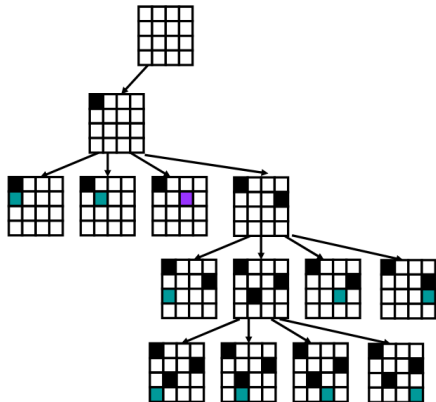
- Assign  $Q_1 = 1$

Remove from the domain any values for the other variables  $Q_i$  where  $f_k(Q_1, Q_i) = 0$ .

- $f_1(Q_1 = 1, Q_4 = 1) = 0$   
(column constraint)
- $f_2(Q_1 = 1, Q_4 = 4) = 0$   
(diagonal constraint)

Thus,  $Q_4 : \{1, 2, 3, 4\} \rightarrow \{2, 3\}$

## 4-Queens - Forward Checking



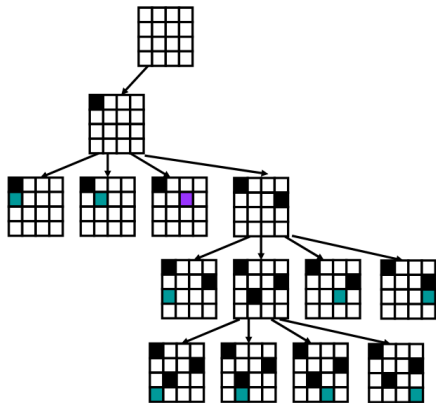
Forward checking:

- Assign  $Q_1 = 1$

Remove from the domain any values for the other variables  $Q_i$  where  $f_k(Q_1, Q_i) = 0$ .

- $Q_2 : \{1, 2, 3, 4\} \rightarrow \{3, 4\}$
- $Q_3 : \{1, 2, 3, 4\} \rightarrow \{2, 4\}$
- $Q_4 : \{1, 2, 3, 4\} \rightarrow \{2, 3\}$

## 4-Queens - Forward Checking



Forward checking:

- Assign  $Q_1 = 1$
- $Q_2 : \{3, 4\}$
- $Q_3 : \{2, 4\}$
- $Q_4 : \{2, 3\}$

Next, assign  $Q_2 = 3$

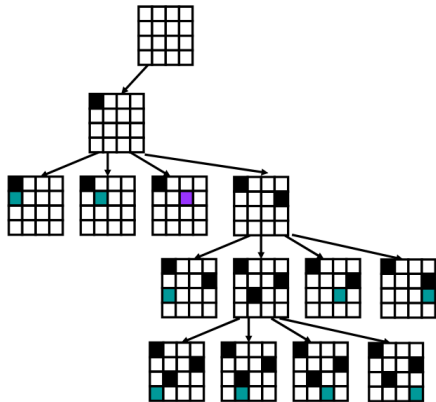
Using forward checking:

- $f_2(Q_2 = 3, Q_3 = 2) = 0$   
(diagonal constraint)
- $f_2(Q_2 = 3, Q_3 = 4) = 0$   
(diagonal constraint)

So  $Q_3$  has no more values!

$Q_2 = 3$  is a dead end!

## 4-Queens - Forward Checking



Forward checking:

- Assign  $Q_1 = 1$
- $Q_2 : \{3, 4\}$
- $Q_3 : \{2, 4\}$
- $Q_4 : \{2, 3\}$

Next, assign  $Q_2 = 4$

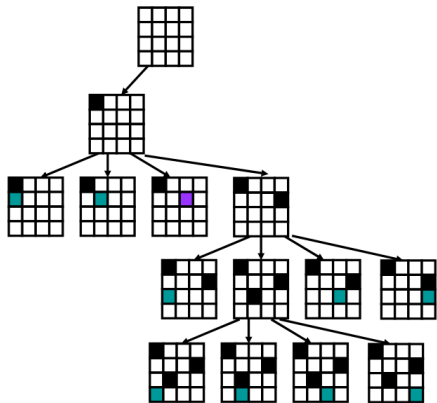
Using forward checking:

- $f_1(Q_2 = 4, Q_3 = 4) = 0$   
(column constraint)
- $f_2(Q_2 = 4, Q_4 = 2) = 0$   
(diagonal constraint)

This leaves  $Q_3 : \{2\}$  &  $Q_4 : \{3\}$ .



## 4-Queens - Forward Checking



Forward checking:

- Assign  $Q_1 = 1$
- Assign  $Q_2 = 4$
- $Q_3 : \{2\}$
- $Q_4 : \{3\}$

Next, assign  $Q_3 = 2$

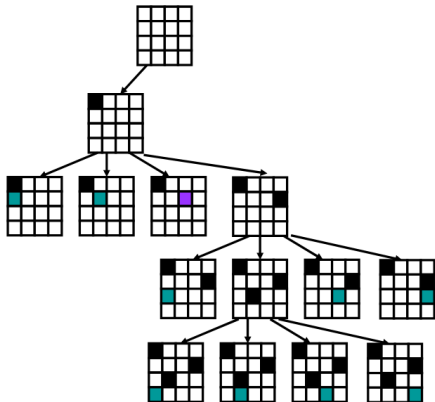
Using forward checking:

- $f_2(Q_3 = 2, Q_4 = 3) = 0$

Leaving  $Q_4$  with no value!

Backtracking up brings us back to the  $Q_1$  assignment, hence  $Q_1 = 1$  is a dead end!

## 4-Queens - Forward Checking



In short, forward checking reduced our domain of possible values to assign our variables, so that later on in the branch, we do fewer assignments.

Can lead to more efficient runtimes if the forward check of all the factors isn't too intensive!

# Problems

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**More practice - Problem 3: Farm Setup  
CSP**